

Form **990**
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

OMB No 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2014

Do not enter social security numbers on this form as it may be made public
Information about Form 990 and its instructions is at www.irs.gov/form990

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning 07-01-2014, and ending 06-30-2015

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK % JULIA SHANAHAN Doing business as		D Employer identification number 13-5598093	
	Number and street (or P O box if mail is not delivered to street address) Room/suite 615 WEST 131ST STREET MC 8741	E Telephone number (212) 854-4684		
	City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100277922		G Gross receipts \$ 6,873,144,922	
F Name and address of principal officer Lee C Bollinger 615 West 131st St MC 8741 New York, NY 100277922		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number		
I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527				
J Website: www.columbia.edu				
K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other			L Year of formation 1754	M State of legal domicile NY

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities SEE ATTACHMENT 1		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
Activities & Governance	3 Number of voting members of the governing body (Part VI, line 1a)	3	24
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	22
	5 Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	33,092
	6 Total number of volunteers (estimate if necessary)	6	25,061
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	-31,656,745
	b Net unrelated business taxable income from Form 990-T, line 34	7b	-35,277,024
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	1,234,408,267	1,239,104,776
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,440,050,978	2,617,833,458
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	605,881,496	856,912,768
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	190,686,992	196,855,400
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	4,471,027,733	4,910,706,402
	14 Benefits paid to or for members (Part IX, column (A), line 4)	552,653,565	566,214,875
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0	0
	16a Professional fundraising fees (Part IX, column (A), line 11e)	2,284,812,951	2,446,841,211
	b Total fundraising expenses (Part IX, column (D), line 25) 113,022,057	728,275	1,048,938
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,077,840,975	1,125,169,322
	18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	3,916,035,766	4,139,274,346
19 Revenue less expenses Subtract line 18 from line 12	554,991,967	771,432,056	
Net Assets or Fund Balances	Beginning of Current Year		End of Year
	20 Total assets (Part X, line 16)	15,957,288,201	16,779,372,320
	21 Total liabilities (Part X, line 26)	2,934,430,851	3,188,748,283
22 Net assets or fund balances Subtract line 21 from line 20	13,022,857,350	13,590,624,037	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here	Signature of officer	2016-05-09
	BARBARA HOUGH CONTROLLER	Date
	Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name COLLEEN ADAMS	Preparer's signature COLLEEN ADAMS	Date	Check <input type="checkbox"/> if self-employed	PTIN P01349647
	Firm's name PricewaterhouseCoopers LLP			Firm's EIN	
	Firm's address 300 Madison Avenue New York, NY 10017			Phone no (646) 471-3000	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses \$ 1,442,711,012 including grants of \$ 452,575,102) (Revenue \$ 1,285,956,661)

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 29,870 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 15,945 FULL-TIME EMPLOYEES, INCLUDING 6,086 FULL-TIME FACULTY MEMBERS AND RESEARCH STAFF

4b (Code) (Expenses \$ 806,720,330 including grants of \$ 4,699,949) (Revenue \$ 1,009,281,697)

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 2.0 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 648 UNIVERSITY MEDICAL STUDENTS AND 941 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c (Code) (Expenses \$ 537,663,040 including grants of \$ 107,248,186) (Revenue \$ 160,976,254)

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

See Additional Data

4d Other program services (Describe in Schedule O)
(Expenses \$ 864,523,510 including grants of \$ 1,691,638) (Revenue \$ 161,618,846)

4e Total program service expenses 3,651,617,892

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> <input checked="" type="checkbox"/>	Yes	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? <input checked="" type="checkbox"/>	Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> <input checked="" type="checkbox"/>		No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> <input checked="" type="checkbox"/>	Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> <input checked="" type="checkbox"/>		No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> <input checked="" type="checkbox"/>	Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> <input checked="" type="checkbox"/>		No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> <input checked="" type="checkbox"/>	Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> <input checked="" type="checkbox"/>		No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> <input checked="" type="checkbox"/>	Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> <input checked="" type="checkbox"/>	Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> <input checked="" type="checkbox"/>	Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> <input checked="" type="checkbox"/>		No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> <input checked="" type="checkbox"/>		No
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> <input checked="" type="checkbox"/>	Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> <input checked="" type="checkbox"/>		No
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> <input checked="" type="checkbox"/>		No
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> <input checked="" type="checkbox"/>	Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> <input checked="" type="checkbox"/>	Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> <input checked="" type="checkbox"/>	Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> <input checked="" type="checkbox"/>	Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> <input checked="" type="checkbox"/>		No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions) <input checked="" type="checkbox"/>	Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> <input checked="" type="checkbox"/>	Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> <input checked="" type="checkbox"/>		No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question number, question text, and Yes/No/Amount columns. Includes rows for 1a-1c, 2a-2b, 3a-3b, 4a-4b, 5a-5c, 6a-6b, 7a-7g, 8, 9a-9b, 10a-10b, 11a-11b, 12a-12b, 13a-13c, and 14a-14b.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	Yes	
8b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	Yes	
15b	Other officers or key employees of the organization	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed <input checked="" type="checkbox"/> CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, address, and telephone number of the person who possesses the organization's books and records ▶ JULIA SHANAHAN 615 WEST 131ST STREET MC 8741 New York, NY 10027 (212) 851-7348

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	30,165,464	0	8,373,763

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **4,880**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
TURNER CONSTRUCTION CO, 375 HUDSON STREET 6TH FL NEW YORK, NY 10014	CONSTRUCTION	14,905,454
IRELL MANELLA LLP, 1800 AVENUE OF THE STARS STE 900 LOS ANGELES, CA 90067	LEGAL	13,399,105
FXFOWLE ARCHITECTS LLP, 22 WEST 19TH STREET NEW YORK, NY 10011	ARCHITECTURAL	9,930,368
IDEAL INTERIORS GROUP LLC, 450 SEVENTH AVENUE STE 1605 NEW YORK, NY 10123	CONSTRUCTION	8,537,035
DAVIS BRODY BOND LLP, 315 HUDSON STREET NEW YORK, NY 10013	ARCHITECTURAL	6,641,633

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **282**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns 1a					
	b	Membership dues 1b					
	c	Fundraising events 1c	9,579,909				
	d	Related organizations 1d					
	e	Government grants (contributions) 1e	753,365,372				
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	476,159,495				
	g	Noncash contributions included in lines 1a-1f \$	98,094,807				
	h	Total. Add lines 1a-1f	1,239,104,776				
Program Service Revenue	2a	TUITION & FEES	611600	1,285,956,661	1,285,956,661		
	b	OTHER EDUCATION & RESEARCH	541700	160,976,254	160,976,254		
	c	PATIENT CARE REVENUE	621110	1,009,281,698	1,009,281,698		
	d	AUXILIARY ENTERPRISES	900099	157,434,286	153,277,607	4,156,679	
	e	OTHER SOURCES	900099	1,864,928	1,864,928		
	f	All other program service revenue		2,319,631	2,319,631		
	g	Total. Add lines 2a-2f		2,617,833,458			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		40,093,184		40,093,184
4		Income from investment of tax-exempt bond proceeds		0			
5		Royalties		89,463,359		89,463,359	
6a		Gross rents	(i) Real	164,005,062			
			(ii) Personal				
			b Less rental expenses	140,557,079			
			c Rental income or (loss)	23,447,983		0	
d		Net rental income or (loss)		23,447,983	8,594	23,439,389	
7a		Gross amount from sales of assets other than inventory	(i) Securities	2,637,180,180			
			(ii) Other				
			b Less cost or other basis and sales expenses	1,820,360,596			
			c Gain or (loss)	816,819,584			
d		Net gain or (loss)		816,819,584		816,819,584	
8a		Gross income from fundraising events (not including \$ 9,579,909 of contributions reported on line 1c) See Part IV, line 18					
a			1,073,360				
b	Less direct expenses b	1,520,845					
c	Net income or (loss) from fundraising events		-447,485		-447,485		
9a	Gross income from gaming activities See Part IV, line 19						
a							
b	Less direct expenses b						
c	Net income or (loss) from gaming activities		0				
10a	Gross sales of inventory, less returns and allowances						
a							
b	Less cost of goods sold b						
c	Net income or (loss) from sales of inventory		0				
	Miscellaneous Revenue	Business Code					
11a	PARTNERSHIP INCOME	900099	84,391,543		-35,822,018	120,213,561	
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		84,391,543				
12	Total revenue. See Instructions		4,910,706,402	2,613,676,779	-31,656,745	1,089,581,592	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	77,436,266	77,436,266		
2	Grants and other assistance to domestic individuals. See Part IV, line 22	452,013,138	452,013,138		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	36,765,471	36,765,471		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	16,775,124	1,840,008	14,935,116	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	1,244,948	98,783	1,146,165	
7	Other salaries and wages	1,976,185,727	1,787,307,332	135,361,698	53,516,697
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	135,675,772	122,708,255	9,293,308	3,674,209
9	Other employee benefits	210,553,532	141,267,224	51,992,653	17,293,655
10	Payroll taxes	106,406,108	96,236,105	7,288,440	2,881,563
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	41,882,982		41,882,982	
c	Accounting	4,230,236		4,230,236	
d	Lobbying	698,356		698,356	
e	Professional fundraising services. See Part IV, line 17	1,048,938			1,048,938
f	Investment management fees	24,392,726		24,392,726	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	175,066,032	147,959,114	18,987,697	8,119,221
12	Advertising and promotion	10,208,792	8,797,727	387,369	1,023,696
13	Office expenses	195,647,344	177,128,976	13,059,863	5,458,505
14	Information technology	25,218,950	13,309,253	10,852,783	1,056,914
15	Royalties	0			
16	Occupancy	195,223,469	188,170,271	5,562,971	1,490,227
17	Travel	66,096,643	58,563,704	5,114,042	2,418,897
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	46,949,959	35,747,322	4,150,277	7,052,360
20	Interest	43,047,300	41,312,494	1,454,999	279,807
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	199,482,659	191,443,508	6,742,514	1,296,637
23	Insurance	26,124,040	22,039,752	4,084,288	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a	LIBRARY	26,937,330	26,937,330		
b	EMPLOYEE EDUCATION	7,491,113	7,392,831	53,369	44,913
c	DUES & SUBSCRIPTIONS	9,031,984	6,483,612	2,087,322	461,050
d	UNRELATED BUS INCOME TAX EXP	442,567		442,567	
e	All other expenses	26,996,840	10,659,416	10,432,656	5,904,768
25	Total functional expenses. Add lines 1 through 24e	4,139,274,346	3,651,617,892	374,634,397	113,022,057
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	0	1	0
	2 Savings and temporary cash investments	1,258,601,185	2	1,741,511,978
	3 Pledges and grants receivable, net	889,890,192	3	766,698,032
	4 Accounts receivable, net	312,486,426	4	354,005,111
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	2,132,499	5	2,137,730
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0	6	0
	7 Notes and loans receivable, net	121,900,518	7	129,844,514
	8 Inventories for sale or use	2,400,306	8	2,353,460
	9 Prepaid expenses and deferred charges	42,001,926	9	48,006,594
	10a Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	10a 7,351,330,649		
	b Less accumulated depreciation	10b 2,745,326,466	4,210,674,635	10c 4,606,004,183
	11 Investments—publicly traded securities	2,736,980,511	11	2,725,183,358
	12 Investments—other securities. See Part IV, line 11	5,898,415,577	12	5,955,138,791
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets. See Part IV, line 11	481,804,426	15	448,488,569
16 Total assets. Add lines 1 through 15 (must equal line 34)	15,957,288,201	16	16,779,372,320	
Liabilities	17 Accounts payable and accrued expenses	272,628,223	17	305,201,561
	18 Grants payable	0	18	0
	19 Deferred revenue	253,035,053	19	305,986,705
	20 Tax-exempt bond liabilities	1,183,840,000	20	1,301,576,000
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	0	21	0
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	238,884,000	24	240,179,000
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	986,043,575	25	1,035,805,017
	26 Total liabilities. Add lines 17 through 25	2,934,430,851	26	3,188,748,283
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	5,722,651,803	27	6,017,899,879
	28 Temporarily restricted net assets	4,604,012,047	28	4,719,353,717
	29 Permanently restricted net assets	2,696,193,500	29	2,853,370,441
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	13,022,857,350	33	13,590,624,037	
34 Total liabilities and net assets/fund balances	15,957,288,201	34	16,779,372,320	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,910,706,402
2	Total expenses (must equal Part IX, column (A), line 25)	2	4,139,274,346
3	Revenue less expenses Subtract line 2 from line 1	3	771,432,056
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	13,022,857,350
5	Net unrealized gains (losses) on investments	5	-176,205,630
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-27,459,739
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,590,624,037

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Part III - Line 4c: Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$ 65,319,047 including grants of \$ 0) (Revenue \$ 0) LIBRARY
(Code) (Expenses \$ 255,957,496 including grants of \$ 0) (Revenue \$ 0) CAMPUS OPERATIONS

Form 990, Part III - Line 4c: Program Service Accomplishments (See the Instructions)

(Code)	(Expenses \$	294,829,392	including grants of \$	0)	(Revenue \$	0)
INSTITUTIONAL SUPPORT						
(Code)	(Expenses \$	110,157,402	including grants of \$	0)	(Revenue \$	157,434,287)
AUXILIARY ENTERPRISES						

Form 990, Part III - Line 4c: Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$	138,260,173	including grants of \$	1,691,638) (Revenue \$	4,184,559)
OTHER SOURCES					

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) LEE C BOLLINGER PRESIDENT	60 0 0 0	X		X				2,045,281	0	428,401
(1) KENNETH A FORDE TRUSTEE	4 0 0 0	X						789,000	0	2,592
(2) ROLANDO T ACOSTA TRUSTEE	4 0 0 0	X						0	0	0
(3) ARMEN A AVANESSIANS TRUSTEE	4 0 0 0	X						0	0	0
(4) A'LELIA BUNDLES TRUSTEE	4 0 0 0	X						0	0	0
(5) WILLIAM V CAMPBELL TRUSTEE	4 0 0 0	X						0	0	0
(6) LISA CARNOY TRUSTEE	4 0 0 0	X						0	0	0
(7) NOAM GOTTESMAN TRUSTEE	4 0 0 0	X						0	0	0
(8) JOSEPH A GREENAWAY JR TRUSTEE	4 0 0 0	X						0	0	0
(9) JAMES HARDEN TRUSTEE	4 0 0 0	X						0	0	0
(10) BENJAMIN HOROWITZ TRUSTEE	4 0 0 0	X						0	0	0
(11) ANN F KAPLAN TRUSTEE	4 0 0 0	X						0	0	0
(12) MARK E KINGDON TRUSTEE	4 0 0 0	X						0	0	0
(13) JONATHAN LAVINE TRUSTEE	4 0 0 0	X						0	0	0
(14) CHARLES LI TRUSTEE	4 0 0 0	X						0	0	0
(15) PAUL J MADDON TRUSTEE	4 0 0 0	X						0	0	0
(16) VIKRAM PANDIT TRUSTEE	4 0 0 0	X						0	0	0
(17) MICHAEL B ROTHFELD TRUSTEE	4 0 0 0	X						0	0	0
(18) JONATHAN D SCHILLER TRUSTEE	4 0 0 0	X						0	0	0
(19) ESTA STECHER TRUSTEE	4 0 0 0	X						0	0	0
(20) KYRIAKOS TSAKOPOULOS TRUSTEE	4 0 0 0	X						0	0	0
(21) FAYE WATTLETON TRUSTEE (THROUGH 9/1/2014)	4 0 0 0	X						0	0	0
(22) CLAIRE SHIPMAN TRUSTEE	4 0 0 0	X						0	0	0
(23) ANDREW BARTH TRUSTEE	4 0 0 0	X						0	0	0
(24) MARC HOLLIDAY TRUSTEE (AS OF 9/2/2014)	4 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(26) JANE E BOOTH GENERAL COUNSEL	60 0 0 0			X				607,619	0	37,385
(1) JOHN COATSWORTH PROVOST	60 0 0 0			X				711,609	0	44,609
(2) JEROME DAVIS SECRETARY	60 0 0 0			X				370,332	0	41,105
(3) ROBERT KASDIN SENIOR EXECUTIVE VP	60 0 0 0			X				807,668	0	71,889
(4) DAVID MADIGAN EXEC VP - ARTS & SCIENCES	60 0 0 0			X				449,419	0	76,224
(5) ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE	60 0 0 0			X				576,256	0	63,642
(6) LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES	60 0 0 0				X			1,225,692	0	502,885
(7) PETER HOLLAND EXEC VP OF INVESTMENT MGMT	60 0 0 0				X			3,384,081	0	3,123,803
(8) JOSEPH A IENUSO EXEC VP OF FACILITIES	60 0 0 0				X			556,413	0	52,609
(9) NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT	60 0 0 0				X			3,765,705	0	3,455,863
(10) FREDERICK M VAN SICKLE EXEC VP-UNIV DEV&ALM(OUTGOING)	60 0 0 0				X			206,510	0	28,981
(11) AMELIA ALVERSON EXEC VP-UNIV DEV&ALM(INCOMING)	60 0 0 0				X			767,077	0	27,926
(12) DAVID N SILVERS CLINICAL PROFESSOR	60 0 0 0					X		4,586,928	0	46,999
(13) JEFFREY W MOSES PROFESSOR OF MEDICINE	60 0 0 0					X		2,618,521	0	54,172
(14) CRAIG R SMITH PROFESSOR OF SURGERY	60 0 0 0					X		2,074,569	0	59,973
(15) MARTIN B LEON PROFESSOR OF SURGERY	60 0 0 0					X		1,856,568	0	66,436
(16) GREGG STONE PROFESSOR OF SURGERY	60 0 0 0					X		1,856,568	0	88,459
(17) ALAN BRINKLEY PROVOST (FORMER)	0 0 0 0						X	441,725	0	62,213
(18) SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM(FORMER)	0 0 0 0						X	467,923	0	37,597

SCHEDULE A
(Form 990 or 990EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
- 8 A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III)
- 10 An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3)**. Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	7,290,243,896
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	7,290,243,896
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						47,671,342
6 Public support. Subtract line 5 from line 4						7,242,572,554

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	7,290,243,896
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	239,533,381	274,663,421	252,902,435	294,632,895	293,561,605	1,355,293,737
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	65,425,604	72,303,940	58,925,715	102,676,428	120,213,561	419,545,248
11 Total support Add lines 7 through 10						9,065,082,881
12 Gross receipts from related activities, etc (see instructions)					12	11,508,482,210

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f))	14	79.895%
15 Public support percentage for 2013 Schedule A, Part II, line 14	15	80.862%

16a 33 1/3% support test—2014. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support test—2013. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2014. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2013 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2013 Schedule A, Part III, line 17	18	

- 19a 33 1/3% support tests—2014.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶
- b 33 1/3% support tests—2013.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶
- 20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

Part IV Supporting Organizations (continued)**Section B. Type I Supporting Organizations**

- 1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*
- 2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).*
- 3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.*

	Yes	No
1		
2		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

- 1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (**see instructions**)
- a** The organization satisfied the Activities Test. Complete **line 2** below.
- b** The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c** The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test **Answer (a) and (b) below.**

- a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*
- b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*

3 Parent of Supported Organizations **Answer (a) and (b) below.**

- a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI.*
- b** Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard.*

	Yes	No
2a		
2b		
3a		
3b		

Part V – Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2014 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014
1 Distributable amount for 2014 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2014			
a From 2009. _____			
b From 2010. _____			
c From 2011. _____			
d From 2012. _____			
e From 2013. _____			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2014 distributable amount			
i Carryover from 2009 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2014 from Section D, line 7 \$ _____			
a Applied to underdistributions of prior years			
b Applied to 2014 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2014, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2014 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2015. Add lines 3j and 4c			
8 Breakdown of line 7			
a From 2010. _____			
b From 2011. _____			
c From 2012. _____			
d From 2013. _____			
e From 2014. _____			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No 1545-0047

2014

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.

Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
2 Political expenditures \$
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 \$
2 Enter the amount of any excise tax incurred by organization managers under section 4955 \$
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
4a Was a correction made? Yes No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities \$
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities \$
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b \$
4 Did the filing organization file Form 1120-POL for this year? Yes No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

Table with 5 columns: (a) Name, (b) Address, (c) EIN, (d) Amount paid from filing organization's funds, (e) Amount of political contributions received and promptly and directly delivered to a separate political organization.

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B** Check if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns														
<table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a. If zero or less, enter -0-														
i	Subtract line 1f from line 1c. If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		No	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c Media advertisements?		No	
d Mailings to members, legislators, or the public?		No	
e Publications, or published or broadcast statements?		No	
f Grants to other organizations for lobbying purposes?	Yes		23,247
g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		42,109
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i Other activities?	Yes		633,000
j Total Add lines 1c through 1i			698,356
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid):		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
SCHEDULE C, PART II-B	<p>LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE BUT ARE NOT LIMITED TO EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND PROJECT COORDINATOR FOR GOVERNMENT AND COMMUNITY AFFAIRS LINE 1C - MEDIA ADVERTISEMENTS N/A LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC N/A LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS N/A LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO CERTAIN OF THESE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE ASSISTANT VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATION, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, AMONG OTHER COLUMBIA UNIVERSITY EMPLOYEES, CONTACTED FEDERAL, STATE, AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND OTHER COLUMBIA UNIVERSITY MEDICAL CENTER EMPLOYEES CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR CONSULTING AND ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS OF GOVERNMENT THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES</p>

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2014

Open to Public Inspection

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Employer identification number 13-5598093

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 3 columns: Line number, (a) Donor advised funds, (b) Funds and other accounts. Rows 1-4 contain numerical data for total number, aggregate value of contributions, grants, and end of year.

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply)
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

Table with 2 columns: Line number, Held at the End of the Year. Rows 2a-2d.

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year
4 Number of states where property subject to conservation easement is located
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year
7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items
1b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a** Public exhibition
- b** Scholarly research
- c** Preservation for future generations
- d** Loan or exchange programs
- e** Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? **Yes** **No**

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? **Yes** **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? **Yes** **No**

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	9,223,047,000	8,197,880,000	7,654,152,000	7,789,579,000	6,516,512,000
b Contributions	270,192,000	173,837,000	165,932,000	183,419,000	202,175,000
c Net investment earnings, gains, and losses	739,892,000	1,424,763,060	871,686,632	161,239,000	1,503,257,000
d Grants or scholarships	99,309,000	92,209,000	81,338,000	84,742,000	71,786,000
e Other expenditures for facilities and programs	449,275,000	440,268,000	374,447,000	363,392,000	329,582,000
f Administrative expenses	45,481,000	40,956,060	38,105,632	31,951,000	30,998,000
g End of year balance	9,639,066,000	9,223,047,000	8,197,880,000	7,654,152,000	7,789,578,000

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a** Board designated or quasi-endowment 37 000 %
 - b** Permanent endowment 63 000 %
 - c** Temporarily restricted endowment 0 %
- The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	Yes	No
(i) unrelated organizations	3a(i) Yes	
(ii) related organizations		No

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		455,538,000		455,538,000
b Buildings		5,300,611,321	2,195,560,625	3,105,050,696
c Leasehold improvements				
d Equipment		375,826,591	211,332,410	164,494,181
e Other	1,219,354,737		338,433,431	880,921,306
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				4,606,004,183

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4 COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4 COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS
FIN 48	SCHEDULE D, PART X, LINE 2 THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN

SCHEDULE E
(Form 990 or 990-EZ)

Schools

OMB No 1545-0047

2014

Open to Public Inspection

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.**
▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

- 1** Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2** Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3** Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	

- 4** Does the organization maintain the following?
 - a** Records indicating the racial composition of the student body, faculty, and administrative staff?
 - b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
 - c** Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
 - d** Copies of all material used by the organization or on its behalf to solicit contributions?
If you answered "No" to any of the above, please explain. If you need more space, use Part II

4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	

- 5** Does the organization discriminate by race in any way with respect to
 - a** Students' rights or privileges?
 - b** Admissions policies?
 - c** Employment of faculty or administrative staff?
 - d** Scholarships or other financial assistance?
 - e** Educational policies?
 - f** Use of facilities?
 - g** Athletic programs?
 - h** Other extracurricular activities?
If you answered "Yes" to any of the above, please explain. If you need more space, use Part II

5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No

- 6a** Does the organization receive any financial aid or assistance from a governmental agency?
- b** Has the organization's right to such aid ever been revoked or suspended?
If you answered "Yes" to either line 6a or line 6b, explain on Part II

6a	Yes	
6b		No

- 7** Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II

7	Yes	
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Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions)

Return Reference	Explanation
SCHEDULE E, LINE 3	COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1.
SCHEDULE E, LINE 6A	COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS.

**SCHEDULE F
(Form 990)**

Statement of Activities Outside the United States

OMB No 1545-0047

2014

**Open to Public
Inspection**

▶ **Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.**

▶ **Attach to Form 990.**

▶ **Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	32	6,307			5,215,422,127
b Total from continuation sheets to Part I	55	3,200			234,841,917
c Totals (add lines 3a and 3b)	87	9,507			5,450,264,044

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ 134

3 Enter total number of other organizations or entities ▶ 0

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
 Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY ----- ----- FORM 990, SCHEDULE F, PART I, COLUMN (F) & PART II, LINE 1 COLUMN 1A UNIVERSITY ACCOUNTS FOR ALL FOREIGN EXPENDITURES, INCLUDING CASH GRANTS AND NONCASH ASSISTANCE, ON THE ORGANIZATION'S FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IN THE UNITED STATES ----- ----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$4,286,228,598 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$576,578,659 AND EXPENDITURES \$26,635 3) EUROPE - BOOK VALUE \$311,144,903 AND EXPENDITURES \$120,222 4) MIDDLE EAST AND NORTH AFRICA - BOOK VALUE \$91,009 4) NORTH AMERICA - BOOK VALUE \$17,776,470 6) SUB-SAHARAN AFRICA - BOOK VALUE \$95,316,710 -----</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica	0	5	Program Services	EDUC/RESEARCH/OUTREACH	7,408
Central America and the Caribbean	2	328	Program Services	EDUC/RESEARCH/OUTREACH	1,123,953
Central America and the Caribbean			Grantmaking		154,818

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Fundraising		1,845
Central America and the Caribbean			Investments		4,286,228,598
East Asia and the Pacific	9	1,677	Program Services	EDUC/RESEARCH/OUTREACH	9,813,838

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
East Asia and the Pacific			Grantmaking		1,537,716
East Asia and the Pacific			Fundraising		1,920,742
East Asia and the Pacific			Investments		576,605,294

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	15	3,233	Program Services	EDUC/RESEARCH/OUTREACH	18,470,962
Europe (Including Iceland and Greenland)			Grantmaking		3,119,672
Europe (Including Iceland and Greenland)			Fundraising		356,108

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Investments		311,265,125
Middle East and North Africa	2	445	Program Services	EDUC/RESEARCH/OUTREACH	3,669,414
Middle East and North Africa			Fundraising		91,573

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Middle East and North Africa			Investments		91,009
North America	4	619	Program Services	EDUC/RESEARCH/OUTREACH	964,052
North America			Grantmaking		1,091,984

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North America			Fundraising		115,786
North America			Investments		17,776,470
Russia and the Newly Independent States	1	154	Program Services	EDUC/RESEARCH/OUTREACH	788,254

Form 990 Schedule F Part I - Activities Outside The United States

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Russia and the Newly Independent States			Grantmaking		134,909
Russia and the Newly Independent States			Fundraising		4,527
South America	7	607	Program Services	EDUC/RESEARCH/OUTREACH	2,947,067

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America			Grantmaking		614,733
South America			Fundraising		84,062
South Asia	4	480	Program Services	EDUC/RESEARCH/OUTREACH	3,526,490

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Grantmaking		927,794
South Asia			Fundraising		127,954
Sub-Saharan Africa	43	1,959	Program Services	EDUC/RESEARCH/OUTREACH	82,195,441

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Grantmaking		29,183,845
Sub-Saharan Africa			Fundraising		5,891
Sub-Saharan Africa			Investments		95,316,710

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	1,512,500	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	1,379,920	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	1,092,020	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	640,662	Check/Wire		N/A	N/A

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(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	528,165	Check/Wire		N/A	N/A
		South Asia	RESEARCH	470,978	Check/Wire		N/A	N/A
		South America	RESEARCH	333,539	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	330,720	Check/Wire		N/A	N/A

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		Sub-Saharan Africa	RESEARCH	307,143	Check/Wire		N/A	N/A
		Europe	RESEARCH	284,995	Check/Wire		N/A	N/A
		North America	RESEARCH	281,712	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	228,153	Check/Wire		N/A	N/A

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		East Asia and the Pacific	RESEARCH	176,508	Check/Wire		N/A	N/A
		South Asia	RESEARCH	163,512	Check/Wire		N/A	N/A
		Europe	RESEARCH	162,166	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	158,309	Check/Wire		N/A	N/A

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		Sub-Saharan Africa	RESEARCH	152,772	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	152,428	Check/Wire		N/A	N/A
		Europe	RESEARCH	150,743	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	145,805	Check/Wire		N/A	N/A

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(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	145,725	Check/Wire		N/A	N/A
		South Asia	RESEARCH	144,117	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	137,470	Check/Wire		N/A	N/A
		Europe	RESEARCH	134,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	131,509	Check/Wire		N/A	N/A
		South America	RESEARCH	124,792	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	108,483	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	105,064	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	103,599	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	102,469	Check/Wire		N/A	N/A
		Europe	RESEARCH	97,200	Check/Wire		N/A	N/A
		Europe	RESEARCH	92,162	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	89,460	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	88,232	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	87,431	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	87,112	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	72,331	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	67,769	Check/Wire		N/A	N/A
		Europe	RESEARCH	67,606	Check/Wire		N/A	N/A
		South Asia	RESEARCH	63,440	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	60,934	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	55,337	Check/Wire		N/A	N/A
		South America	RESEARCH	52,920	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	52,138	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		North America	RESEARCH	50,248	Check/Wire		N/A	N/A
		South Asia	RESEARCH	50,000	Check/Wire		N/A	N/A
		Russia and the Newly Independent States	RESEARCH	49,893	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	49,333	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Europe	RESEARCH	48,000	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	41,021	Check/Wire		N/A	N/A
		Europe	RESEARCH	40,200	Check/Wire		N/A	N/A
		South America	RESEARCH	40,000	Check/Wire		N/A	N/A

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		East Asia and the Pacific	RESEARCH	40,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	38,708	Check/Wire		N/A	N/A
		Europe	RESEARCH	38,150	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	37,002	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	36,888	Check/Wire		N/A	N/A
		Russia and the Newly Independent States	RESEARCH	31,000	Check/Wire		N/A	N/A
		Russia and the Newly Independent States	RESEARCH	29,016	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	26,400	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Russia and the Newly Independent States	RESEARCH	25,000	Check/Wire		N/A	N/A
		South America	RESEARCH	25,000	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	24,300	Check/Wire		N/A	N/A
		South America	RESEARCH	24,042	Check/Wire		N/A	N/A

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		Europe	RESEARCH	21,534	Check/Wire		N/A	N/A
		Europe	RESEARCH	21,194	Check/Wire		N/A	N/A
		Europe	RESEARCH	21,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	20,502	Check/Wire		N/A	N/A

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		Europe	RESEARCH	20,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	19,077	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	17,000	Check/Wire		N/A	N/A
		South Asia	RESEARCH	16,628	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

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		Sub-Saharan Africa	RESEARCH	16,181	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	15,551	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	15,249	Check/Wire		N/A	N/A
		North America	RESEARCH	15,000	Check/Wire		N/A	N/A

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		East Asia and the Pacific	RESEARCH	14,826	Check/Wire		N/A	N/A
		Europe	RESEARCH	14,688	Check/Wire		N/A	N/A
		South America	RESEARCH	14,440	Check/Wire		N/A	N/A
		Europe	RESEARCH	13,920	Check/Wire		N/A	N/A

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		East Asia and the Pacific	RESEARCH	13,069	Check/Wire		N/A	N/A
		North America	RESEARCH	12,820	Check/Wire		N/A	N/A
		Europe	RESEARCH	11,213	Check/Wire		N/A	N/A
		South Asia	RESEARCH	10,000	Check/Wire		N/A	N/A

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		Europe	RESEARCH	10,000	Check/Wire		N/A	N/A
		Europe	RESEARCH	9,980	Check/Wire		N/A	N/A
		South Asia	RESEARCH	9,119	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	8,857	Check/Wire		N/A	N/A

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		North America	RESEARCH	8,314	Check/Wire		N/A	N/A
		Europe	RESEARCH	7,932	Check/Wire		N/A	N/A
		Europe	RESEARCH	7,560	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	7,532	Check/Wire		N/A	N/A

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		East Asia and the Pacific	RESEARCH	6,145	Check/Wire		N/A	N/A
		North America	RESEARCH	50,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	71,467	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	79,369	Check/Wire		N/A	N/A

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(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	542,707	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	3,220,266	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	6,071,892	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	554,410	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	1,499,254	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	135,169	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	1,287,791	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	110,128	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	836,211	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	2,213,482	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	4,118,125	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	2,003,464	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	271,420	Check/Wire		N/A	N/A
		Sub-Saharan Africa	EDU/RESEARCH			475,489	VEHICLES	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			101,933	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			201,174	MATERIALS	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			46,285	EQUIP/VHCLE	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			17,295	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			8,648	EQUIPMENT	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			105,305	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			50,771	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			28,830	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			55,412	EQUIPMENT	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			6,493	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			6,493	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			6,493	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			6,493	EQUIPMENT	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			6,493	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			91,332	FURNITURE	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			68,202	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			172,300	EQUIPMENT	BOOK VALUE

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDU/RESEARCH			50,849	EQUIPMENT	BOOK VALUE
		Sub-Saharan Africa	EDU/RESEARCH			39,967	EQUIPMENT	BOOK VALUE

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No 1545-0047

2014

Open to Public Inspection

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a. Attach to Form 990 or Form 990-EZ.

Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Employer identification number 13-5598093

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations, b Internet and email solicitations, c Phone solicitations, d In-person solicitations, e Solicitation of non-government grants, f Solicitation of government grants, g Special fundraising events

2a Did the organization have a written or oral agreement with any individual... [Yes/No]

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements...

Table with 6 columns: (i) Name and address of individual or entity (fundraiser), (ii) Activity, (iii) Did fundraiser have custody or control of contributions?, (iv) Gross receipts from activity, (v) Amount paid to (or retained by) fundraiser listed in col (i), (vi) Amount paid to (or retained by) organization. Includes rows for RUFFALO NOEL LEVITZ LLC, STORY WORLDWIDE LLC, KIMBIA INC, LESLIE KELLY, and a Total row.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

NY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>ANNUAL DINNER</u> (event type)	<u>AWARDS DINNER</u> (event type)	<u>50</u> (total number)	(add col (a) through col (c))
Revenue	1 Gross receipts	2,543,260	1,295,812	6,814,197	10,653,269
	2 Less Contributions	2,427,944	1,214,187	5,937,778	9,579,909
	3 Gross income (line 1 minus line 2)	115,316	81,625	876,419	1,073,360
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	27	2,500		2,527
	6 Rent/facility costs	85,564	232,343		317,907
	7 Food and beverages	240,180			240,180
	8 Entertainment	1,600			1,600
	9 Other direct expenses	21,021	61,191	876,419	958,631
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				
11 Net income summary Subtract line 10 from line 3, column (d) ▶					-447,485

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7 Direct expense summary Add lines 2 through 5 in column (d) ▶					
8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶					

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain _____

.....

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain _____

.....

- 11** Does the organization conduct gaming activities with nonmembers? Yes No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13** Indicate the percentage of gaming activities conducted in
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c** If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

Director/officer Employee Independent contractor

17 Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Return Reference	Explanation
Schedule G, Part I, Line 2b, Column V	Payment of Fees and Expenses The amount reported for Story Worldwide LLC includes approximately \$1,500 of travel costs and \$5,000 of creative asset costs (e.g., photography, imagery, music) The invoice between Story Worldwide LLC and Columbia University specifically identifies these two fundraising expenses and distinguishes them from the underlying fundraising services to be performed

**Schedule I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments and Individuals in the United States**

OMB No 1545-0047

2014

**Open to Public
Inspection**

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

▶ **Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I General Information on Grants and Assistance

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶	289
3 Enter total number of other organizations listed in the line 1 table ▶	0

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) CCS NANCY RUPP SCHOLARSHIP	3	7,500			N/A
(2) STUDENT TUITION GRANTS AND SCHOLARSHIPS	25836	452,005,638			N/A

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO	36-2177139	501(C)(3)	2,722,937		N/A	N/A	RESEARCH
RESEARCH FOUNDATION OF THE STATE UNIV OF NY	14-1368361	501(C)(3)	2,255,092		N/A	N/A	RESEARCH
UNIVERSITY OF PENNSYLVANIA	23-1352685	501(C)(3)	2,109,787		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Research Foundation for Mental Hygiene	14-1410842	501(C)(3)	2,036,198		N/A	N/A	RESEARCH
UNIVERSITY OF WASHINGTON	91-6001537	501(C)(3)	2,006,443		N/A	N/A	RESEARCH
HARVARD UNIVERSITY	04-2103580	501(C)(3)	1,919,764		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MICHIGAN	38-6006309	501(C)(3)	1,918,002		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA SAN DIEGO	95-6006144	501(C)(3)	1,886,269		N/A	N/A	RESEARCH
JOHNS HOPKINS UNIVERSITY	52-0595110	501(C)(3)	1,647,040		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY MEDICAL COLLEGE	13-1623978	501(C)(3)	1,535,869		N/A	N/A	RESEARCH
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	13-6171197	501(C)(3)	1,435,220		N/A	N/A	RESEARCH
MILLENNIUM PROMISE ALLIANCE	20-3042135	501(C)(3)	1,236,028		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MICHIGAN STATE UNIVERSITY	38-6005984	501(C)(3)	1,192,087		N/A	N/A	RESEARCH
UNIVERSITY OF NORTH CAROLINA	56-6001393	501(C)(3)	1,167,008		N/A	N/A	RESEARCH
RUTGERS UNIVERSITY	22-6001086	501(C)(3)	1,076,032		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK UNIVERSITY	13-5562308	501(C)(3)	1,039,632		N/A	N/A	RESEARCH
RESEARCH FOUNDATION OF THE CITY UNIV OF NY	13-1988190	501(C)(3)	900,418		N/A	N/A	RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	04-2103594	501(C)(3)	885,408		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITY	06-0646973	501(C)(3)	876,859		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY MEDICAL CENTER	13-5562309	501(C)(3)	836,810		N/A	N/A	RESEARCH
DUKE UNIVERSITY	56-0532129	501(C)(3)	808,156		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY	94-1156365	501(C)(3)	763,294		N/A	N/A	RESEARCH
World Vision Inc	95-1922279	501(C)(3)	751,886		N/A	N/A	RESEARCH
Saint Lukes Roosevelt Hospital Center	13-2997301	501(C)(3)	736,115		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
George Mason University	54-0836354	501(C)(3)	729,298		N/A	N/A	RESEARCH
GEORGETOWN UNIVERSITY	53-0196603	501(C)(3)	724,170		N/A	N/A	RESEARCH
BOSTON UNIVERSITY	04-2103547	501(C)(3)	712,489		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR COLLEGE OF MEDICINE	74-1613878	501(C)(3)	657,562		N/A	N/A	RESEARCH
HOSPITAL FOR SPECIAL SURGERY	13-1624135	501(C)(3)	645,284		N/A	N/A	RESEARCH
REGENTS OF THE UNIV OF CALIFORNIA AT IRVINE	95-2226406	501(C)(3)	637,552		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS THE STATE UNIVERSITY OF NJ	46-2354111	501(C)(3)	636,244		N/A	N/A	RESEARCH
EMORY UNIVERSITY	58-0566256	501(C)(3)	627,832		N/A	N/A	RESEARCH
UNIVERSITY OF MIAMI	59-0624458	501(C)(3)	592,767		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WOODS HOLE OCEANOGRAPHIC INSTITUTION	04-2105850	501(C)(3)	573,866		N/A	N/A	RESEARCH
VANDERBILT UNIVERSITY	62-0476822	501(C)(3)	567,035		N/A	N/A	RESEARCH
CORNELL UNIVERSITY	15-0532082	501(C)(3)	542,194		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF FLORIDA	59-6002052	501(C)(3)	516,123		N/A	N/A	RESEARCH
NORTHWESTERN UNIVERSITY	36-2167817	501(C)(3)	515,100		N/A	N/A	RESEARCH
JHPIEGO CORPORATION	23-7424444	501(C)(3)	486,287		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK BLOOD CENTER	13-1949477	501(C)(3)	473,313		N/A	N/A	RESEARCH
MEMORIAL SLOAN-KETTERING CANCER CENTER	13-1924236	501(C)(3)	470,844		N/A	N/A	RESEARCH
BRANDEIS UNIVERSITY	04-2103552	501(C)(3)	466,657		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Albany Medical CenterCollege	14-1338310	501(C)(3)	442,627		N/A	N/A	RESEARCH
UNIVERSITY OF IOWA	42-6004813	501(C)(3)	435,915		N/A	N/A	RESEARCH
Christiana Care Health System	51-0103684	501(C)(3)	435,086		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Cancer Prevention Institute of California	23-7427232	501(C)(3)	428,230		N/A	N/A	RESEARCH
GEORGE WASHINGTON UNIVERSITY	53-0196584	501(C)(3)	426,742		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA - Dept of GeoSciences	86-6004791	501(C)(3)	425,046		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF TEXAS AT ARLINGTON	75-6000121	501(C)(3)	421,919		N/A	N/A	RESEARCH
UNIVERSITY OF UTAH	87-6000525	501(C)(3)	419,358		N/A	N/A	RESEARCH
UNIVERSITY OF MASSACHUSETTS	04-3167352	501(C)(3)	411,989		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA AT SAN FRANCISCO	94-6036493	501(C)(3)	406,512		N/A	N/A	RESEARCH
UNIVERSITY OF PITTSBURGH	25-0965591	501(C)(3)	406,476		N/A	N/A	RESEARCH
Health Research Incorporated	14-1402155	501(C)(3)	399,656		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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YESHIVA UNIV ALBERT EISTEIN COL OF MED	13-1624225	501(C)(3)	398,255		N/A	N/A	RESEARCH
UNIVERSITY OF COLORADO	84-6000555	501(C)(3)	390,239		N/A	N/A	RESEARCH
Cicatelli Associates Inc	13-3020576	501(C)(3)	382,788		N/A	N/A	RESEARCH

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SAINT PETERS UNIVERSITY HOSPITAL	22-1487330	501(C)(3)	374,012		N/A	N/A	RESEARCH
SYMANTEC	77-0181864	501(C)(3)	367,642		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF MINNESOTA	41-6007513	501(C)(3)	350,752		N/A	N/A	RESEARCH

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FUNDA CONCIENCIA INC	27-0824212	501(C)(3)	348,841		N/A	N/A	RESEARCH
Rand Corporation	95-1958142	501(C)(3)	346,750		N/A	N/A	RESEARCH
THE BRIGHAM AND WOMENS HOSPITAL INC	42-2312909	501(C)(3)	335,250		N/A	N/A	RESEARCH

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PENNSYLVANIA STATE UNIVERSITY	24-6000376	501(C)(3)	335,180		N/A	N/A	RESEARCH
UNIV OF MEDICINE & DENTISTRY OF NEW JERSEY	22-1775306	501(C)(3)	333,470		N/A	N/A	RESEARCH
LUDWIG INST FOR CANCER RES LTD	23-7121131	501(C)(3)	329,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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TEACHERS COLLEGE	13-1624202	501(C)(3)	325,761		N/A	N/A	RESEARCH
INTERNATIONAL BUSINESS MACHINES CORP	13-0871985	501(C)(3)	324,800		N/A	N/A	RESEARCH
UNIVERSITY OF KANSAS	48-0680117	501(C)(3)	319,841		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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STEVENS INSTITUTE OF TECHNOLOGY	22-1487354	501(C)(3)	317,389		N/A	N/A	RESEARCH
PRINCETON UNIVERSITY	21-0634501	501(C)(3)	314,723		N/A	N/A	RESEARCH
ARIZONA STATE UNIVERSITY	86-0196696	501(C)(3)	307,150		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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KAISER PERMANENTE	94-1105628	501(C)(3)	298,134		N/A	N/A	RESEARCH
THOMAS JEFFERSON UNIVERSITY	23-1352651	501(C)(3)	281,252		N/A	N/A	RESEARCH
Childrens Health Fund	13-3468427	501(C)(3)	280,120		N/A	N/A	RESEARCH

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RETINA FOUNDATION OF THE SOUTHWEST	51-0151514	501(C)(3)	270,384		N/A	N/A	RESEARCH
Clinical Directors Network Inc	14-1717344	501(C)(3)	269,579		N/A	N/A	RESEARCH
MASSACHUSETTS GENERAL HOSPITAL	04-2697983	501(C)(3)	262,673		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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OREGON HEALTH AND SCIENCES UNIV	93-1176109	501(C)(3)	260,188		N/A	N/A	RESEARCH
UNIVERSITY OF NEW MEXICO	85-6000642	501(C)(3)	258,593		N/A	N/A	RESEARCH
FRED HUTCHINSON CANCER RESEARCH CNTR	23-7156071	501(C)(3)	255,078		N/A	N/A	RESEARCH

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UNIVERSITY OF ALABAMA	63-6005396	501(C)(3)	251,263		N/A	N/A	RESEARCH
ST JUDE CHILDRENS RES HOSPITAL	62-0646012	501(C)(3)	249,000		N/A	N/A	RESEARCH
FAMILY HEALTH INTERNATIONAL	23-7413005	501(C)(3)	242,736		N/A	N/A	RESEARCH

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UNIVERSITY OF VIRGINIA	54-6046419	501(C)(3)	239,490		N/A	N/A	RESEARCH
UNIVERSITY OF PUERTO RICO	66-0433762	501(C)(3)	233,098		N/A	N/A	RESEARCH
NEW YORK MEDICAL COLLEGE	13-1099420	501(C)(3)	226,993		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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OREGON STATE UNIVERSITY	48-1278540	501(C)(3)	223,518		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL BOSTON	04-2774441	501(C)(3)	210,018		N/A	N/A	RESEARCH
WEST HARLEM ENVIRONMENTAL ACTION INC	13-3800068	501(C)(3)	202,895		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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DREXEL UNIVERSITY	23-1352630	501(C)(3)	198,428		N/A	N/A	RESEARCH
MAYO CLINIC IN ROCHESTER	41-6011702	501(C)(3)	196,771		N/A	N/A	RESEARCH
MONTEFIORE MEDICAL CENTER	13-1740114	501(C)(3)	192,869		N/A	N/A	RESEARCH

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TRUSTEES OF TUFTS COLLEGE	04-2103634	501(C)(3)	187,976		N/A	N/A	RESEARCH
MAINE MEDICAL CENTER	01-0238552	501(C)(3)	186,203		N/A	N/A	RESEARCH
DREXEL UNIVERSITY COLLEGE OF MEDICINE	23-2979433	501(C)(3)	182,512		N/A	N/A	RESEARCH

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ECO OPP COMM OF NASSAU COUNTY	11-2195458	501(C)(3)	181,793		N/A	N/A	RESEARCH
Beth Israel Deaconess Medical Center	42-2103881	501(C)(3)	181,519		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTHERN CALIFORNIA	95-1642394	501(C)(3)	179,299		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA BERKELEY	94-6002123	501(C)(3)	172,770		N/A	N/A	RESEARCH
Harlem United Community AIDS Center Inc	13-3461695	501(C)(3)	171,536		N/A	N/A	RESEARCH
SOUTH CAROLINA RESEARCH FOUNDATION	57-0967350	501(C)(3)	165,210		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA DAVIS	95-6006143	501(C)(3)	161,496		N/A	N/A	RESEARCH
HEALTHPARTNERS INST FOR EDUC AND RESEARCH	41-1670163	501(C)(3)	160,473		N/A	N/A	RESEARCH
Hebrew Home for the Aged at Riverdale	13-1739971	501(C)(3)	159,279		N/A	N/A	RESEARCH

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UNIVERSITY OF TEXAS AT AUSTIN	74-6000203	501(C)(3)	157,412		N/A	N/A	RESEARCH
FOX CHASE CANCER CENTER	23-2003072	501(C)(3)	157,001		N/A	N/A	RESEARCH
THE CHILDRENS HOSPITAL OF PHILADELPHIA	23-1352166	501(C)(3)	153,261		N/A	N/A	RESEARCH

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CHILDRENS HOSPITAL OF LOS ANGELES	95-1690977	501(C)(3)	145,702		N/A	N/A	RESEARCH
Southern Methodist University	75-0800689	501(C)(3)	144,384		N/A	N/A	RESEARCH
EARTH IMAGES FOUNDATION INC	07-7032389	501(C)(3)	142,376		N/A	N/A	RESEARCH

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NORTHERN ILLINOIS UNIVERSITY	36-6008480	501(C)(3)	136,741		N/A	N/A	RESEARCH
J DAVID GLADSTONE INSTITUTES	23-7203666	501(C)(3)	131,000		N/A	N/A	RESEARCH
Garden State Infectious Disease Associates	22-3310855	501(C)(3)	128,898		N/A	N/A	RESEARCH

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NEW YORK CITY HEALTH AND HOSPITALS CORP	13-2655001	501(C)(3)	127,313		N/A	N/A	RESEARCH
WASHINGTON UNIVERSITY ST LOUIS	43-0653611	501(C)(3)	125,360		N/A	N/A	RESEARCH
Parallax Center Inc	13-3501469	501(C)(3)	120,230		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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EL CAMINO HOSPITAL	94-3167314	501(C)(3)	120,000		N/A	N/A	RESEARCH
International Food Policy Research Inst	52-1041632	501(C)(3)	118,357		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF ALASKA AT FAIRBANKS	92-6000147	501(C)(3)	118,038		N/A	N/A	financial aid

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SUFFOLK COUNTY PERINATAL COALITION	11-2978393	501(C)(3)	115,562		N/A	N/A	SCHOLARSHIP/FIN AID
UNIVERSITY OF MARYLAND	52-6002033	501(C)(3)	114,729		N/A	N/A	PROGRAM SVCS
POLAR OCEANS RESEARCH GROUP	81-0536140	501(C)(3)	102,270		N/A	N/A	SCHOLARSHIP/FIN AID

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THE SCRIPPS RESEARCH INSTITUTE	33-0435954	501(C)(3)	101,000		N/A	N/A	FOOD AND PROGRAM SVS
HASTINGS CTR	13-2662222	501(C)(3)	95,341		N/A	N/A	SCHOLARSHIP/FIN AID
UNC WILMINGTON	56-1258660	501(C)(3)	94,175		N/A	N/A	TUITION

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Iowa State University	42-6004224	501(C)(3)	89,856		N/A	N/A	FINANCIAL AID
CANCER RESEARCH AND BIOSTATISTICS	91-1828539	501(C)(3)	87,177		N/A	N/A	PROGRAM SVCS
New York Hospital Of Queens	11-1839362	501(C)(3)	86,545		N/A	N/A	EDUC PROGRAMS

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Bronx Lebanon Hospital Center	13-1974191	501(C)(3)	85,656		N/A	N/A	SCHOLARSHIP/FIN AID
OHIO STATE UNIVERSITY RESEARCH FND	31-6025986	501(C)(3)	84,383		N/A	N/A	SCHOLARSHIP/FIN AID
RUSH UNIVERSITY MEDICAL CENTER	36-2174823	501(C)(3)	83,320		N/A	N/A	SCHOLARSHIP/FIN AID

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MARY IMOGENE BASSETT HOPSITAL	13-5596796	501(C)(3)	82,793		N/A	N/A	SCHOLARSHIP/FIN AID
VIRGINIA INSTITUTE OF MARINE SCIENCE	54-6001802	501(C)(3)	82,554		N/A	N/A	EDUC PROGRAMS
ECOHEALTH ALLIANCE	31-1726494	501(C)(3)	81,323		N/A	N/A	FOOD AND EQUIP

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STOCKHOLM INSTITUTE U S	20-4659308	501(C)(3)	80,741		N/A	N/A	PROGRAM SVCS
PHYSICIANS FOR REPRODUCTIVE HEALTH INC	13-3693391	501(C)(3)	79,999		N/A	N/A	EQUIPMENT
GEISINGER HEALTH SYSTEM	23-6291113	501(C)(3)	79,451		N/A	N/A	FOOD AND EQUIP

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UNIVERSITY OF HAWAII	99-6000354	501(C)(3)	78,068		N/A	N/A	PROGRAM SVCS
UNIVERSITY OF ROCHESTER	16-0743209	501(C)(3)	77,551		N/A	N/A	TUITION
Woodrow Wilson Intl Center for Scholars	52-1067541	501(C)(3)	77,331		N/A	N/A	PROGRAM SVCS

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American Museum of Natural History	13-6162659	501(C)(3)	75,913		N/A	N/A	RESEARCH
Creighton University	47-0376583	501(C)(3)	74,522		N/A	N/A	
RIVERSTONE SENIOR LIFE SERV	13-3355074	501(C)(3)	73,249		N/A	N/A	PROGRAM SVCS

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SWOG CLINICAL TRIALS INITIATIVE LLC	26-1146046	501(C)(3)	72,864		N/A	N/A	PROGRAM SVCS
CHILDRENS HOSPITAL MEDICAL CENTER	31-0833936	501(C)(3)	71,269		N/A	N/A	RESEARCH
Mayo Clinic in Jacksonville	59-3337028	501(C)(3)	71,150		N/A	N/A	EDUC/RESEARCH

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Seattle Childrens Hospital	91-0564748	501(C)(3)	68,600		N/A	N/A	EDUC/RESEARCH
GEORGIA INSTITUTE OF TECHNOLOGY	58-0603146	501(C)(3)	68,461		N/A	N/A	EDUC/RESEARCH
SAN DIEGO STATE UNIVERSITY FOUNDATION	95-6042721	501(C)(3)	65,023		N/A	N/A	EDUC/RESEARCH

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COLORADO STATE UNIVERSITY	84-6000545	501(C)(3)	64,111		N/A	N/A	EDUC/RESEARCH
BARNARD COLLEGE	13-1628149	501(C)(3)	62,370		N/A	N/A	EDUC/RESEARCH
SRVS & ADVCY FOR GAY LESBIAN BISEXUAL TRNSGNDR ELD	13-2947657	501(C)(3)	62,316		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROWN UNIVERSITY	05-0258804	501(C)(3)	58,639		N/A	N/A	EDUC/RESEARCH
REGENTS UNIV OF OKLAHOMA HLT SCIENCES CTR	73-6017987	501(C)(3)	58,603		N/A	N/A	EDUC/RESEARCH
VIRGINIA POLYTECHNICAL INST AND STATE UNIV	54-6001805	501(C)(3)	57,584		N/A	N/A	EDUC/RESEARCH

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SANDIA NATIONAL LABORATORIES	85-0097942	501(C)(3)	57,000		N/A	N/A	EDUC/RESEARCH
NEW YORK STRUCTURAL BIOLOGY CTR INC	13-4043587	501(C)(3)	56,336		N/A	N/A	EDUC/RESEARCH
Seattle Inst for Biomedical & Clinical Rsrh	91-1452438	501(C)(3)	55,993		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF TEXAS AT DALLAS	75-1305566	501(C)(3)	55,295		N/A	N/A	EDUC/RESEARCH
Callen-Lorde Community Health Center	13-3409680	501(C)(3)	52,659		N/A	N/A	EDUC/RESEARCH
ROCHESTER INSTITUTE OF TECHNOLOGY	16-0743140	501(C)(3)	52,323		N/A	N/A	EDUC/RESEARCH

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TGEN	75-3065445	501(C)(3)	50,898		N/A	N/A	EDUC/RESEARCH
OFM RESEARCH INC	57-1222227	501(C)(3)	50,859		N/A	N/A	EDUC/RESEARCH
SAN FRANCISCO STATE UNIVERSITY	93-1137247	501(C)(3)	49,990		N/A	N/A	EDUC/RESEARCH

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INDIANA UNIVERSITY	35-6001673	501(C)(3)	47,923		N/A	N/A	EDUC/RESEARCH
PURDUE UNIVERSITY	35-6002041	501(C)(3)	47,507		N/A	N/A	EDUC/RESEARCH
FORDHAM UNIVERSITY	13-1740451	501(C)(3)	45,058		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF TEXAS HSC HOUSTON	74-1761309	501(C)(3)	45,049		N/A	N/A	EDUC/RESEARCH
VIRGINIA COMMONWEALTH UNIVERSITY	54-6001758	501(C)(3)	44,250		N/A	N/A	EDUC/RESEARCH
WAYNE STATE UNIVERSITY	38-6028429	501(C)(3)	43,972		N/A	N/A	EDUC/RESEARCH

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GREAT LAKES MEDICAL RESEARCH LLC	16-1602698	501(C)(3)	43,221		N/A	N/A	EDUC/RESEARCH
Raritan Bay Medical Center	22-1494442	501(C)(3)	43,066		N/A	N/A	EDUC/RESEARCH
PATHWAYS TO HOUSING PA	45-2612118	501(C)(3)	42,503		N/A	N/A	EDUC/RESEARCH

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RED BALLOON	13-2772534	501(C)(3)	41,420		N/A	N/A	EDUC/RESEARCH
Public Health Institute	94-1646278	501(C)(3)	40,815		N/A	N/A	EDUC/RESEARCH
BERMUDA INSTITUTE OF OCEAN SCIENCES	06-0706038	501(C)(3)	40,241		N/A	N/A	EDUC/RESEARCH

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OLD DOMINION UNIVERSITY	54-6000884	501(C)(3)	39,869		N/A	N/A	EDUC/RESEARCH
MCLEAN HOSPITAL CORPORATION	04-2697981	501(C)(3)	37,808		N/A	N/A	EDUC/RESEARCH
FORSYTH INSTITUTE	04-2104230	501(C)(3)	37,644		N/A	N/A	EDUC/RESEARCH

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RICE UNIVERSITY	74-1109620	501(C)(3)	37,374		N/A	N/A	EDUC/RESEARCH
Research Triangle Institute	56-0686338	501(C)(3)	37,346		N/A	N/A	EDUC/RESEARCH
COLD SPRING HARBOR LABORATORY	11-2013303	501(C)(3)	36,081		N/A	N/A	EDUC/RESEARCH

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CEDAR SINAI MEDICAL CENTER	95-1644600	501(C)(3)	34,740		N/A	N/A	EDUC/RESEARCH
FUND FOR PUBLIC HEALTH IN NEW YORK INC	05-0539199	501(C)(3)	34,011		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF DELAWARE	51-6000297	501(C)(3)	32,659		N/A	N/A	EDUC/RESEARCH

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American College of Nurse-Midwives	74-1685515	501(C)(3)	32,109		N/A	N/A	EDUC/RESEARCH
NORTH PACIFIC WILDLIFE CONSULTING LLC	46-0470251	501(C)(3)	32,065		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF WISCONSIN MADISON	39-6006492	501(C)(3)	31,734		N/A	N/A	EDUC/RESEARCH

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LOMA LINDA UNIVERSITY	95-1816009	501(C)(3)	29,455		N/A	N/A	EDUC/RESEARCH
LEHIGH VALLEY HEALTH NETWORK	23-1689692	501(C)(3)	28,110		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF GEORGIA	58-1353149	501(C)(3)	27,539		N/A	N/A	EDUC/RESEARCH

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CASA COLUMBIA	52-1736502	501(C)(3)	26,980		N/A	N/A	EDUC/RESEARCH
Cooper Health System Cooper University Hospital	21-0634462	501(C)(3)	25,774		N/A	N/A	EDUC/RESEARCH
THE DESERT RESEARCH INSTITUTE	88-6000024	501(C)(3)	25,574		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF NEW HAMPSHIRE	02-6000937	501(C)(3)	25,327		N/A	N/A	EDUC/RESEARCH
NORTHEASTERN UNIVERSITY	04-1679980	501(C)(3)	25,020		N/A	N/A	EDUC/RESEARCH
FLORIDA STATE UNIVERSITY	59-1961248	501(C)(3)	24,327		N/A	N/A	EDUC/RESEARCH

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ARC XVI Fort Washington Inc Senior Cntr	13-2745426	501(C)(3)	23,620		N/A	N/A	EDUC/RESEARCH
WEST VIRGINIA UNIVERSITY RESEARCH CORP	55-0665758	501(C)(3)	23,157		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF MISSOURI	43-6003859	501(C)(3)	22,883		N/A	N/A	EDUC/RESEARCH

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NY STATE ASSOC OF COUNTY HEALTH OFFICIALS	14-1747742	501(C)(3)	22,317		N/A	N/A	EDUC/RESEARCH
AVENIR HEALTH INC	20-4816286	501(C)(3)	21,732		N/A	N/A	EDUC/RESEARCH
URBAN INSTITUTE	52-0880375	501(C)(3)	20,758		N/A	N/A	EDUC/RESEARCH

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BURKE MEDICAL RESEARCH INSTITUTE	13-1739937	501(C)(3)	20,475		N/A	N/A	EDUC/RESEARCH
CHILDFUND	54-0536100	501(C)(3)	20,000		N/A	N/A	EDUC/RESEARCH
CENTER FOR CHILDREN'S INITIATIVES INC	13-3039812	501(C)(3)	19,638		N/A	N/A	EDUC/RESEARCH

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CASE WESTERN RESERVE UNIVERSITY	34-1018992	501(C)(3)	19,559		N/A	N/A	EDUC/RESEARCH
FREE LAW PROJECT	46-3342480	501(C)(3)	18,875		N/A	N/A	EDUC/RESEARCH
NEW YORK METHODIST HOSPITAL	11-1631796	501(C)(3)	18,690		N/A	N/A	EDUC/RESEARCH

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COMMUNITY LEAGUE OF THE HEIGHTS INC	13-2564241	501(C)(3)	18,205		N/A	N/A	EDUC/RESEARCH
Hektoen Institute of Medicine	36-2244897	501(C)(3)	18,009		N/A	N/A	EDUC/RESEARCH
HEALTH AND CLIMATE FOUNDATION	26-1671380	501(C)(3)	17,250		N/A	N/A	EDUC/RESEARCH

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THE VILLAGE SOUTH INC	59-1452736	501(C)(3)	17,001		N/A	N/A	EDUC/RESEARCH
Visiting Nurse Service of New York	13-3189926	501(C)(3)	16,908		N/A	N/A	EDUC/RESEARCH
ALZHEIMERS ASSOC NYC	13-3277408	501(C)(3)	15,550		N/A	N/A	EDUC/RESEARCH

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TEXAS A&M INSTITUTE	74-6000541	501(C)(3)	15,130		N/A	N/A	EDUC/RESEARCH
KESSLER FOUNDATION	31-1562134	501(C)(3)	15,008		N/A	N/A	EDUC/RESEARCH
J CRAIG VENTER INSTITUTE	52-1842938	501(C)(3)	14,067		N/A	N/A	EDUC/RESEARCH

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VALLEY HOSPITAL	22-1487307	501(C)(3)	14,000		N/A	N/A	EDUC/RESEARCH
LOUISIANA STATE UNIVERSITY	72-6000848	501(C)(3)	13,701		N/A	N/A	EDUC/RESEARCH
SARC	86-1087705	501(C)(3)	13,278		N/A	N/A	EDUC/RESEARCH

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SOUTHWEST RESEARCH INSTITUTE	74-1070544	501(C)(3)	12,925		N/A	N/A	EDUC/RESEARCH
Carnegie Hill Imaging for Women	20-3492938	501(C)(3)	12,667		N/A	N/A	EDUC/RESEARCH
LOYOLA UNIVERSITY OF CHICAGO	36-1408475	501(C)(3)	12,507		N/A	N/A	EDUC/RESEARCH

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Public Health Solutions	13-5669201	501(C)(3)	12,505		N/A	N/A	EDUC/RESEARCH
BRONX RIVER ALLIANCE INC	75-3001587	501(C)(3)	12,340		N/A	N/A	EDUC/RESEARCH
TEMPLE UNIVERSITY	23-1365971	501(C)(3)	12,109		N/A	N/A	EDUC/RESEARCH

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MISSISSIPPI STATE UNIVERSITY	64-6000819	501(C)(3)	11,802		N/A	N/A	EDUC/RESEARCH
ST JOSEPHS REGIONAL MED CTR	22-1487602	501(C)(3)	11,340		N/A	N/A	EDUC/RESEARCH
OB GYN SERVICES PC	13-4073640	501(C)(3)	11,000		N/A	N/A	EDUC/RESEARCH

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Chapman University	95-1643992	501(C)(3)	10,871		N/A	N/A	EDUC/RESEARCH
NORTHERN MANHATTAN IMPROVEMENT CORP	13-2972415	501(C)(3)	10,800		N/A	N/A	EDUC/RESEARCH
FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH	11-2673595	501(C)(3)	10,531		N/A	N/A	EDUC/RESEARCH

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UNIV OF TEXAS SOUTHWESTERN MEDICAL CENTER	75-6002868	501(C)(3)	10,449		N/A	N/A	EDUC/RESEARCH
CARY INST OF ECOSYSTEM STUDIES	22-3232968	501(C)(3)	10,248		N/A	N/A	EDUC/RESEARCH
University Corp for Atmospheric Research	84-0412668	501(C)(3)	10,024		N/A	N/A	EDUC/RESEARCH

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SAN FRANCISCO PERINATAL ASSOC	20-3799374	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
New York Stem Cell Foundation	20-2905531	501(C)(3)	9,505		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF ILLINOIS	37-6000511	501(C)(3)	9,492		N/A	N/A	EDUC/RESEARCH

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ARIZONA GEOLOGICAL SURVEY	86-6004791	501(C)(3)	9,327		N/A	N/A	EDUC/RESEARCH
MAINE GEOLOGICAL SURVEY	01-6000001	501(C)(3)	9,143		N/A	N/A	EDUC/RESEARCH
CHILDREN'S HOSPITAL MEDICAL CENTER - AKRON	34-0714357	501(C)(3)	9,050		N/A	N/A	EDUC/RESEARCH

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ELIZABETH GLASER AIDS FOUNDATION	95-4191698	501(C)(3)	8,431		N/A	N/A	EDUC/RESEARCH
COLORADO CENTER ON LAW AND POLICY	84-1264154	501(C)(3)	7,860		N/A	N/A	EDUC/RESEARCH
NATIONAL OPINION RESEARCH CENTER	36-2167808	501(C)(3)	7,581		N/A	N/A	EDUC/RESEARCH

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FUND FOR THE CITY OF NEW YORK	13-2612524	501(C)(3)	7,480		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF NEBRASKA MEDICAL CENTER	47-0049123	501(C)(3)	7,428		N/A	N/A	EDUC/RESEARCH
BETH ISRAEL MEDICAL CENTER	13-5564934	501(C)(3)	7,273		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF NOTRE DAME	35-0868188	501(C)(3)	6,852		N/A	N/A	EDUC/RESEARCH
MOUNT SINAI MEDICAL CTR	13-1624096	501(C)(3)	6,800		N/A	N/A	EDUC/RESEARCH
Queens Comprehensive Perinatal Council	11-2870422	501(C)(3)	5,974		N/A	N/A	EDUC/RESEARCH

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(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MERCER UNIVERSITY	58-0566167	501(C)(3)	5,948		N/A	N/A	EDUC/RESEARCH
UNIV OF VERMONT & STATE AGRICULTURAL COLLEGE	03-0179440	501(C)(3)	5,912		N/A	N/A	EDUC/RESEARCH
MOUNTAIN STUDIES INSTITUTE	73-1644103	501(C)(3)	5,455		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Dominican Womens Development Center	13-3593885	501(C)(3)	5,400		N/A	N/A	EDUC/RESEARCH
University of Kansas Medical Center	48-1108830	501(C)(3)	5,086		N/A	N/A	EDUC/RESEARCH
NYC DEPT OF HEALTH AND MENTAL HYGIENE	13-6400434	Government	180,913		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DEPARTMENT OF COMMERCE	52-0821608	Government	103,944		N/A	N/A	EDUC/RESEARCH
NEW YORK CITY HOUSING AUTHORITY	13-6400571	Government	46,575		N/A	N/A	EDUC/RESEARCH
PUERTO RICO DEPARTMENT OF HEALTH	90-0002462	Government	41,840		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WESTCHESTER COUNTY DEPARMENT OF HEALTH	13-6007353	Government	17,500		N/A	N/A	EDUC/RESEARCH
Adults & Children in Trust Childrens' Quest	13-1623934	501(C)(3)	12,000		N/A	N/A	EDUC/RESEARCH
Behind the Book Inc	32-0086097	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Bloomingdale School of Music	13-2562192	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH
Broadway Presbyterian Church Nursery School	13-1623916	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
Cathedral Community Cares -St John Dvne	13-1623934	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Children's Learning Cntr Morningside Hghts	13-4111840	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
Community Impact Inc	13-3386904	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
Doing Art Together Inc	13-3363579	501(C)(3)	5,500		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Figure Skating In Harlem	13-3945168	501(C)(3)	6,500		N/A	N/A	RESEARCH
Friends of the Children NY	06-1597902	501(C)(3)	6,000		N/A	N/A	RESEARCH
Graham Windham-Beacon Ctr Youth Lit Program	13-2926426	501(C)(3)	8,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Harlem Academy	56-2454573	501(C)(3)	9,000		N/A	N/A	RESEARCH
Harlem Hospital - Adulth HIV Services	13-2655001	501(C)(3)	5,500		N/A	N/A	RESEARCH
Harlem Hospital Pulmonary Medicine	13-2655001	501(C)(3)	10,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Health Leads Inc	45-0484533	501(C)(3)	6,000		N/A	N/A	RESEARCH
Legal Outreach Inc - Summer Law Institute	13-3214627	501(C)(3)	5,500		N/A	N/A	RESEARCH
Purple Circle Day Care	13-3073319	501(C)(3)	10,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Red Balloon Day Care Center Inc	13-2772534	501(C)(3)	11,000		N/A	N/A	RESEARCH
Rev Linnette C Williamson Memrl Park Assoc	13-3861293	501(C)(3)	6,000		N/A	N/A	RESEARCH
St Mary's Episcopal Chrch Soup Kitchen&Food	13-1624179	501(C)(3)	6,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Top Honors Inc	75-3062601	501(C)(3)	11,000		N/A	N/A	RESEARCH
Uptown Innner City League Inc	13-3823616	501(C)(3)	5,500		N/A	N/A	RESEARCH
Wendy Hilliard Gymnastics Foundation	13-3879321	501(C)(3)	12,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
West Side Campaign Against Hunger	71-0908184	501(C)(3)	12,000	9,795	FMV	Canned Food	RESEARCH
REID HALL INC	13-1611126	501(C)(3)	561,964		N/A	N/A	RESEARCH
COLUMBIA UNIVERSITY HEALTH CARE INC	13-3948652	501(C)(3)	4,399,245		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA UNIVERSITY PRESS	13-1623968	501(C)(3)	1,243,000		N/A	N/A	RESEARCH

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2014

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**

▶ **Attach to Form 990.**

▶ **Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Questions Regarding Compensation

- 1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.
- | | |
|--|---|
| <input checked="" type="checkbox"/> First-class or charter travel | <input checked="" type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of

a The organization?

b Any related organization?

If "Yes," to line 5a or 5b, describe in Part III

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes," to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	Yes	
2	Yes	
4a		No
4b		No
4c		No
5a	Yes	
5b		No
6a		No
6b		No
7	Yes	
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II

Also complete this part for any additional information

Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION	<p>NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN CERTAIN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS A REASONABLE EXPENSE TO SUPPORT THE MISSION OF THE UNIVERSITY ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO REVIEW AND APPROVAL TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OF ANOTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR OTHER CEREMONIES OR EVENTS IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAID CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THOSE AMOUNTS THE TOTAL AMOUNT FOR PREMIUMS, FEES AND THE TAXES THEREON \$33,281 IS SHOWN IN COLUMN (B) (III) AS OTHER REPORTABLE COMPENSATION HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$374,024 AND IS REPORTED AS A NON-TAXABLE BENEFIT THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$4,303 IMPUTED TO DR GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)) NOTE TO PART I, LINE 5A DR DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR NOTE TO PART I, LINE 7 NOTE TO N P NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR NARVEKAR AND MR HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B(II) AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS \$4,901,424 FOR MR NARVEKAR AND \$4,423,412 FOR MR HOLLAND NOTE TO LEE BOLLINGER'S COMPENSATION AMOUNTS FOR PRESIDENT BOLLINGER IN COLUMN (B)(II) INCLUDE PAYMENTS UNDER A PERFORMANCE-BASED BONUS AWARD NOTE TO PROVOST BRINKLEY'S COMPENSATION PROFESSOR BRINKLEY STEPPED DOWN AS PROVOST ON AUGUST 30, 2010 THE AMOUNT SHOWN REFLECTS COMPENSATION PAID TO HIM AS A CHAIRED PROFESSOR OF HISTORY NOTE TO DR GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO PROVIDE DR GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD \$468,000 ARE INCLUDED AS DEFERRED COMPENSATION NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN DR FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE NOTE TO DR MOSES', DR SMITH'S, DR STONE'S, & DR LEON'S COMPENSATION THE COMPENSATION OF DR MOSES, DR SMITH, DR STONE, AND DR LEON IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (4 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES OFTEN DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION</p>

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
 IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
LEE C BOLLINGER, PRESIDENT	(i) (ii)	1,298,577 0	695,000 0	51,704 0	26,650 0	401,751 0	2,473,682 0	0 0
KENNETH A FORDE, TRUSTEE	(i) (ii)	0 0	0 0	789,000 0	0 0	2,592 0	791,592 0	0 0
JANE E BOOTH, GENERAL COUNSEL	(i) (ii)	607,619 0	0 0	0 0	26,650 0	10,735 0	645,004 0	0 0
JOHN COATSWORTH, PROVOST	(i) (ii)	670,463 0	0 0	41,146 0	26,650 0	17,959 0	756,218 0	0 0
ALAN BRINKLEY, PROVOST (FORMER)	(i) (ii)	400,725 0	0 0	41,000 0	39,650 0	22,563 0	503,938 0	0 0
JEROME DAVIS, SECRETARY	(i) (ii)	370,332 0	0 0	0 0	26,650 0	14,455 0	411,437 0	0 0
SUSAN K FEAGIN, EXEC VP-UNIV DEV&ALUM (FORMER)	(i) (ii)	467,923 0	0 0	0 0	26,650 0	10,947 0	505,520 0	0 0
ROBERT KASDIN, SENIOR EXECUTIVE VP	(i) (ii)	807,668 0	0 0	0 0	26,650 0	45,239 0	879,557 0	0 0
DAVID MADIGAN, EXEC VP - ARTS & SCIENCES	(i) (ii)	449,409 0	0 0	10 0	26,650 0	49,574 0	525,643 0	0 0
ANNE R SULLIVAN, EXECUTIVE VP FOR FINANCE	(i) (ii)	576,256 0	0 0	0 0	26,650 0	36,992 0	639,898 0	0 0
LEE GOLDMAN, EXEC VP FOR HEALTH SCIENCES	(i) (ii)	911,789 0	0 0	313,903 0	494,650 0	8,235 0	1,728,577 0	0 0
PETER HOLLAND, EXEC VP OF INVESTMENT MGMT	(i) (ii)	804,002 0	2,580,079 0	0 0	3,085,272 0	38,531 0	6,507,884 0	2,084,472 0
JOSEPH A IENUSO, EXEC VP OF FACILITIES	(i) (ii)	545,365 0	11,000 0	48 0	26,650 0	25,959 0	609,022 0	0 0
NIRMAL NARVEKAR, PRESIDENT OF INVESTMENT MGMT	(i) (ii)	894,918 0	2,870,787 0	0 0	3,424,340 0	31,523 0	7,221,568 0	2,320,144 0
FREDERICK M VAN SICKLE, EXEC VP-UNIV DEV&ALM (OUTGOING)	(i) (ii)	162,385 0	0 0	44,125 0	20,359 0	8,622 0	235,491 0	0 0
AMELIA ALVERSON, EXEC VP-UNIV DEV&ALM (INCOMING)	(i) (ii)	527,489 0	10,000 0	229,588 0	20,150 0	7,776 0	795,003 0	0 0
DAVID N SILVERS, CLINICAL PROFESSOR	(i) (ii)	2,995,560 0	1,591,368 0	0 0	35,488 0	11,511 0	4,633,927 0	0 0
JEFFREY W MOSES, PROFESSOR OF MEDICINE	(i) (ii)	1,940,688 0	677,833 0	0 0	31,249 0	22,923 0	2,672,693 0	0 0
CRAIG R SMITH, PROFESSOR OF SURGERY	(i) (ii)	2,074,569 0	0 0	0 0	36,018 0	23,955 0	2,134,542 0	0 0
MARTIN B LEON, PROFESSOR OF SURGERY	(i) (ii)	1,856,568 0	0 0	0 0	31,249 0	35,187 0	1,923,004 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990	
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
GREGG STONE, PROFESSOR OF SURGERY	(i) (ii)	1,856,568 0	0 0	0 0	31,249 0	57,210 0	1,945,027 0	0 0

**Schedule K
(Form 990)**

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶ **Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.**

2014

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS	X			X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS	X			X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,663	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006B	14-6000293	64983QUE7	01-18-2006	167,228,781	REFUND 1998A, 2000A & 2002B BONDS		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	24,665,000		40,555,000		48,250,000		60,420,000	
2	Amount of bonds legally defeased	63,120,000		61,175,000		0		0	
3	Total proceeds of issue	123,718,793		159,406,375		246,367,334		167,228,781	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	802,880		1,020,611		1,516,885		1,095,577	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	122,097,219		157,343,063		243,286,427		0	
11	Other spent proceeds	818,694		1,042,701		1,564,052		166,133,203	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2004		2007		2009			
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X	X	
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X			

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X			
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X			
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X			
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0 %		0 %		0 300 %		0 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		0 %		0 %		0 170 %		
6 Total of lines 4 and 5		0 %		0 %		0 470 %		
7 Does the bond issue meet the private security or payment test?		X		X		X		
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X			

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?		X		X		X		X
c No rebate due?	X		X		X		X	
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X			X		X		X
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider	0		0		0			
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART II, LINE 3	DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS ----- ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A, 2015A, and 2015B BOND ISSUES ----- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION, LINE 13, HAS BEEN LEFT BLANK FOR SERIES 2006B AND SERIES 2015B SINCE THESE WERE REFUNDING ISSUES AND, AS SUCH NO PROJECTS WERE ASSOCIATED WITH THESE BONDS -----

Return Reference	Explanation
SCHEDULE K, PART III, LINES 4-5	FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2011A, SERIES 2012A, SERIES 2015A, AND SERIES 2015B ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K ----- -----

Return Reference	Explanation
SCHEDULE K, PART I, COLUMN (G)	COLUMN (G) OF PART I HAS BEEN ANSWERED YES FOR THE 2003A&B, 2004B&C, AND 2008A BOND ISSUES THE 2003A AND 2004B BOND ISSUES WERE FULLY DEFEASED AND THE 2008A BOND ISSUE WAS PARTIALLY DEFEASED -----

Return Reference	Explanation
SCHEDULE K, PART IV, LINE 2	THE LATEST ARBITRAGE COMPUTATION DATE FOR DASNY 2003A&B, 2004B&C, 2006A, 2006B, 2008A, 2009A, 2011A AND 2012A WAS DECEMBER 31, 2014

**Schedule K
(Form 990)**

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶ **Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.**

2014

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2008A	14-6000293	649903ZN4	04-23-2008	293,606,826	FUND NEW QUALIFIED PROJECTS	X			X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	649906F33	06-07-2012	174,118,498	REFUNDING AND FUNDING NEW PROJECTS		X		X		X

Part II Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired		0		0		0		0
2 Amount of bonds legally defeased		82,715,000		0		0		0
3 Total proceeds of issue		295,785,662		117,067,254		312,258,932		174,157,898
4 Gross proceeds in reserve funds		0		0		0		0
5 Capitalized interest from proceeds		0		0		0		0
6 Proceeds in refunding escrows		0		0		0		0
7 Issuance costs from proceeds		1,641,578		823,388		1,678,394		738,570
8 Credit enhancement from proceeds		0		0		0		0
9 Working capital expenditures from proceeds		0		0		0		0
10 Capital expenditures from proceeds		292,178,836		115,269,253		308,005,900		173,344,328
11 Other spent proceeds		1,965,248		974,613		2,574,008		75,000
12 Other unspent proceeds		0		0		0		0
13 Year of substantial completion	2009		2009					
	Yes	No	Yes	No	Yes	No	Yes	No
14 Were the bonds issued as part of a current refunding issue?		X		X		X		X
15 Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16 Has the final allocation of proceeds been made?	X		X		X		X	
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 040 %		0 050 %		0 %		0 %	
6 Total of lines 4 and 5	0 040 %		0 050 %		0 %		0 %	
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		X
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?		X		X		X		X
c No rebate due?	X		X		X		X	
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X	X			X		X
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider	0		0		0			
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

**Schedule K
(Form 990)**

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶ **Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.**

2014

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF NEW YORK 2015A	14-6000293	6499077D8	04-23-2015	125,006,199	FUND NEW QUALIFIED PROJECTS		X		X		X
B	DORMITORY AUTHORITY OF NEW YORK 2015B	14-6000293	64990BGH0	04-23-2015	56,240,112	REFUND DASNY 2004B BONDS		X		X		X

Part II Proceeds

		A	B	C	D				
1	Amount of bonds retired	0	0						
2	Amount of bonds legally defeased	0	0						
3	Total proceeds of issue	125,006,199	56,240,112						
4	Gross proceeds in reserve funds	0	0						
5	Capitalized interest from proceeds	0	0						
6	Proceeds in refunding escrows	0	0						
7	Issuance costs from proceeds	482,921	239,228						
8	Credit enhancement from proceeds	0	0						
9	Working capital expenditures from proceeds	0	0						
10	Capital expenditures from proceeds	84,000,338	0						
11	Other spent proceeds	49,116	56,000,884						
12	Other unspent proceeds	40,473,823	0						
13	Year of substantial completion								
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X					
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?		X	X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X					

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X					
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X					
c Are there any research agreements that may result in private business use of bond-financed property?	X		X					
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X					
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %					
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %					
6 Total of lines 4 and 5	0 %		0 %					
7 Does the bond issue meet the private security or payment test?		X		X				
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X				
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X				
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X					

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X				
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X		X					
b Exception to rebate?		X		X				
c No rebate due?		X		X				
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X				
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b Name of provider	0		0					
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider	0		0					
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2014

Open to Public Inspection

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

- 2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$ _____
- 3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) JOHN COATSWORTH	OFFICER	HOUSING		X	1,475,000	1,777,730		No	Yes		Yes	
(2) DAVID MADIGAN	OFFICER	HOUSING		X	400,000	360,000		No	Yes		Yes	

Total ▶ \$ 2,137,730

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	98,783	EMPLOYMENT		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
NOTES TO SCHEDULE L	ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSE OF THE KEY EMPLOYEE LISTED IN SCHEDULE L WAS AN EMPLOYEE OF THE UNIVERSITY DURING THE REPORTING PERIOD HER COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION SCHEDULE L, PART II THE LOAN REPORTED FOR JOHN COATSWORTH IN PART II IS INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE THE LOAN REPORTED FOR DAVID MADIGAN IN PART II IS INTEREST BEARING AND WAS MADE IN CONNECTION WITH A PRIMARY RESIDENCE

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**
▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	13	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles	X	1	0	
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	605	98,094,807	COST/SALES PRICE
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	1	0	
19 Food inventory	X	11	0	
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (EQUIPMENT)	X	8	0 0	
26 Other ▶ (EVENT TICKETS & FEES)	X	11	0 0	
27 Other ▶ (BOOKS)	X	9	0 0	
28 Other ▶ (HOUSEHOLD GOODS)	X	3	0 0	
Other ▶ (SOFTWARE)	X	3	0 0	
Other ▶ (ARCHIVAL RECORDS)	X	1	0 0	
Other ▶ (CHILDREN'S TOYS)	X	1	0 0	
Other ▶ (GIFT CARDS)	X	3	0 0	
Other ▶ (MUSICAL INSTRUMENTS)	X	3	0 0	

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29 23

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a	Yes	
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
USE OF THIRD PARTIES TO SOLICIT, PROCESS, OR SELL NONCASH CONTRIBUTIONS	SCHEDULE M, PART I, LINE 32B THE UNIVERSITY USES THIRD PARTY INVESTMENT BROKERS TO SELL NON-CASH CONTRIBUTED SECURITIES THIRD PARTIES ARE NOT USED TO SOLICIT OR PROCESS ANY CONTRIBUTIONS
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I, LINE 33 UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B) THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.**

▶ Attach to Form 990 or 990-EZ.

**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.**

2014

**Open to Public
Inspection**

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Return Reference	Explanation	
	SUPPLEMENTAL DISCLOSURES	<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT VOTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 REPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED FROM THE UNIVERSITY AND RECEIVED PREVIOUSLY-ACCRUED COMPENSATION DURING THE REPORTING PERIOD BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT ----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS -----</p> <p>----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHILE CHINA CONGO ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTHO MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SENEGAL SOUTH AFRICA SOUTH SUDAN SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) UGANDA ZAMBIA -----</p> <p>----- FORM 990, PART V, LINE 7G CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2015, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORM 8899 TO REPORT SUCH CONTRIBUTIONS -----</p> <p>----- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIANS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP ----- - FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF THE TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 5, 2016 CHANGES MADE SUBSEQUENT TO THE MAY 5, 2016 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE CHANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING -----</p> <p>--- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST " WHEN AN OFFICER OR KEY EMPLOYEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCE TO HIS OR HER SUPERVISOR, THE TRUSTEES OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE OFFICER OR EMPLOYEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSION OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL OFFICERS AND KEY EMPLOYEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED AND ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE BOARD OF TRUSTEES -----</p> <p>----- FORM 990, PART VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION</p>

Return Reference	Explanation	
	SUPPLEMENTAL DISCLOSURES	<p>ACTION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION ----- FORM 990, PART VI, LINE 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU -----</p> <p>-----</p>

Return Reference	Explanation
FORM 990, PART VIII	<p>DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES -----</p> <p>----- FORM 990, PART IX, LINE 5 COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES COMPENSATION AMOUNTS PRESENTED AS MANAGEMENT AND GENERAL EXPENSES ARE FOR CURRENT OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES WHO ARE PART OF CENTRAL ADMINISTRATION WHILE THESE OFFICERS AND KEY EMPLOYEES SPEND A PORTION OF THEIR TIME ON PROGRAMMATIC ACTIVITIES IN ADDITION TO THEIR MANAGEMENT RESPONSIBILITIES, THE UNIVERSITY HAS CHOSEN NOT TO ALLOCATE THE EXPENSES BETWEEN CATEGORIES GIVEN THE DIFFICULTY IN DOING SO ----- FORM 990, PART IX, LINE 11F</p> <p>INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES ----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES -----</p>

Return Reference	Explanation
FORM 990, PART X, LINE 7	<p>LOANS RECEIVABLE FROM STUDENTS TOTAL \$104,087,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$25,891,094 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 0.41% TO 6.29%, WITH MATURITY DATES RANGING FROM 2015 THROUGH 2044 THE OUTSTANDING BALANCES RANGE FROM \$50,000 TO \$2,118,500 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE. LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,116,439 ----- FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY (3,905,114) PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (21,411,672) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS (2,142,953) ----- TOTAL OTHER CHANGES IN NET ASSETS (27,459,739) -----</p> <p>----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF NON-EMPLOYEE TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR</p>

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2014

Open to Public Inspection

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**
- ▶ **Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
See Additional Data Table												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)

- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)

- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)

- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses

- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		No
1b	Yes	
1c		No
1d		No
1e		No
1f		No
1g		No
1h		No
1i		No
1j	Yes	
1k		No
1l		No
1m		No
1n		No
1o		No
1p		No
1q		No
1r		No
1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE R COLUMBIA UNIVERSITY OWNS AN 81.57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I</p> <p>END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I</p> <p>INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES ----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I -----</p> <p>----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2014 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME \$2,855,904 SHARE OF END-OF-YEAR (GROSS) ASSETS \$123,356,638 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME \$3,519,820 SHARE OF END-OF-YEAR (GROSS) ASSETS \$19,519,882 CALUNIUS LITIGATION RISK FUND 2 LP SHARE OF TOTAL (GROSS) INCOME \$3,015 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,563,727 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS \$(44,643) SHARE OF END-OF-YEAR (GROSS) ASSETS \$3,928,224 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$23,799,503 SHARE OF END-OF-YEAR (GROSS) ASSETS \$986,668,856 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$5,688,623 HARBOUR OFFSHORE FUND II LP SHARE OF TOTAL (GROSS) LOSS \$39,449 SHARE OF END-OF-YEAR (GROSS) ASSETS \$10,946,186 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS \$63,795 SHARE OF END-OF-YEAR (GROSS) ASSETS \$2,056,558 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2015, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 57 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 3 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
 IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	COLUMBIA
CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	28,909,716	403,612,364	COLUMBIA
CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	17,108	0	COLUMBIA
CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	880,103	46,843,045	COLUMBIA
CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	880,103	46,843,045	CIM V
CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	7,063,713	27,479,683	COLUMBIA
CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	0	0	CIM XIII LLC
CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868	INVEST HOLDNG	DE	207,941	0	COLUMBIA
CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901	INVEST HOLDNG	DE	1,604	0	COLUMBIA
CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	0	1,131,614	COLUMBIA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	COLUMBIA
DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	122,672,635	COLUMBIA
COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	1,028,092	2,715,182	COLUMBIA
COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	COLUMBIA
HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	2,196,179	COLUMBIA
CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
ACCESS PROJECT LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	23,957	COLUMBIA
MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	102,850	COLUMBIA
MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	124,040	COLUMBIA
MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	478,784	COLUMBIA
MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	420,798	COLUMBIA
MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH KG LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	35,110	COLUMBIA
COLUMBIA INT'L PROJECTS LLC (KENYA) 412 LOW MEMORIAL LIBRARY 535 W 116 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) CIS I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
(1) CIS II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901826	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
(2) COLUMBIA UNIVERSITY HEALTH CARE INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3948652	HEALTHCARE	NY	501(C)(3)	9	COLUMBIA	Yes	
(3) COL PRESBYTERIAN MEDICAL CENTER FUND INC 630 WEST 168TH STREET NEW YORK, NY 10032 13-6162924	FUNDRAISING	NY	501(C)(3)	11A	NA		No
(4) COL UNIV RETIREE MED LIFE INS BNFITS TR 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11A	COLUMBIA	Yes	
(5) COLUMBIA UNIVERSITY PRESS 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	COLUMBIA	Yes	
(6) FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR 1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA		No
(7) MORNINGSIDE HEIGHTS LEGAL SERVICES INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11A	COLUMBIA	Yes	
(8) REID HALL INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11A	COLUMBIA	Yes	
(9) CENTRE UNIVERSITAIRE AMERICAN REID HALL 535 WEST 116TH STREET NEW YORK, NY 10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(10) COLUMBIA GLOBAL CENTERS I EUROPE (PARIS) 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(11) CU NEWYORK 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(12) RESEARCH COLLECTIONS AND PRES CONSORTIUM 400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA		No
(13) FOUNDATION FOR CTR FOR ENERGY MARINE 3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	11	NA		No
(14) THE CLYDE & HELEN WU FAMILY FOUNDATION 1275 AUDOBON AVENUE GROSSE POINTE PK, MI 48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA		No
(15) CENTER FOR ENVIRONMENT ECONOMY & SOCIETY 2852 BROADWAY NEW YORK, NY 10025	RESEARCH	DR	N/A	N/A	CIP	Yes	
(16) CENTER FOR NATL HLTH DEVELP IN ETHIOPIA KEBELE 06 H447 PO BX 664 ADDIS ABABA 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
(17) CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	RESEARCH	IN	N/A	N/A	CIP	Yes	
(18) COLUMBIA GLOBAL CENTER IN INDIA 630 W 168TH STREET NEW YORK, NY 10032	RESEARCH	IN	N/A	N/A	CGC LLC	Yes	
(19) COLUMBIA UK FUND LTD 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(21) COLUMBIA UNIVERSITY HONG KONG FUND GPO BOX 5314 CONNAUGHT PLACE HK	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
(1) MSPH SWAZILAND 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	HEALTHCARE	WZ	N/A	N/A	CIP	Yes	
(2) FUNDACION COLUMBIA U GLOBAL CTR - CHILE AVDAG HAMMASKJOLD 32691ST FL SANTIAGO CI	RESEARCH	CI	N/A	N/A	COLUMBIA	Yes	
(3) INSTITUTE ON MEDICINE AS A PROFESSION C/O 630 WEST 168TH STREET PS BOX NEW YORK, NY 10032 33-1033330	HEALTHCARE	DE	501(C)(3)	11C	NA		No
(4) COLUMBIA GLOBAL CENTERS INITIATIVE 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	RESEARCH	FR	N/A	N/A	COLUMBIA	Yes	
(5) COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	N/A	N/A	COLUMBIA	Yes	
(6) CU MIDDLE EAST RESEARCH CENTER 5 MOHD AL SAD AL-BATAYNEH STREET AMMAN 11814 JO	EDUC SUPPORT	JO	N/A	N/A	COLUMBIA	Yes	
(7) CENTER FOR INTL PROGRAMS ZIMBABWE TRUST 39 Lawson Av 42 Bates St Harare ZI	HLTH/RESEARCH	ZI	N/A	N/A	COLUMBIA	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
AP MC FUND I LP ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0504300	INVESTMENTS	JA	COLUMBIA	EXCLUDED	-131,061	108,541		No	0		No	66.478%
AP RX FUND I LP STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0515907	INVESTMENTS	JA	COLUMBIA	EXCLUDED	0	9,191,904		No	0		No	99.503%
MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 1200 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	COLUMBIA	EXCLUDED	16,114,390	23,059,790		No	0		No	97.594%
PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	0		No	0		No	100.000%
WAYPOINT FUND I LP 1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	COLUMBIA	EXCLUDED	13,753,031	93,008		No	0		No	99.010%
SANJEEVINI INVESTMENT HOLDING IFS COURT TWENTYEIGHT CY EBENE MP 98-1113898	INVESTMENTS	MP	COLUMBIA	EXCLUDED	17,059	4,196,439		No	0		No	91.749%
GCM GROSVENOR BLUE LP 767 FIFTH AVENUE 14TH FLOOR NEW YORK, NY 10010 30-0773582	INVESTMENTS	DE	COLUMBIA	EXCLUDED	2,660,048	42,582,595		No	0		No	100.000%
Q INDIA EQUITY FUND LIMITED 98-0494924	INVESTMENTS	MP	COLUMBIA	EXCLUDED	5,780,284	58,114,418		No	0		No	60.814%
CANAAN RESOURCES PARTNERS DRILLING FD 1101 N Broadway Suite 300 OKLAHOMA CITY, OK 73103 36-4777388	INVESTMENTS	OK	COLUMBIA	EXCLUDED	32,519	2,199,186		No	0		No	99.000%
VECTRA ENERGY DRILLING FUND I LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1901248	INVESTMENTS	DE	COLUMBIA	UNRELATED	-64,653	174,352		No	-64,653		No	97.500%
VECTRA ENERGY DRILLING FUND I-A LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1842822	INVESTMENTS	DE	COLUMBIA	UNRELATED	284,904	339,388		No	-2,065,263		No	99.900%
TAILWATER E AND P OPPORTUNITY FUND II LP 1209 Orange Street Wilmington, DE 19801 47-4098699	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	0		No	0		No	74.240%

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
Yes	No								
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	DE	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF NEW JERSEY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 26-4364931	HEALTHCARE	NJ	COLUMBIA	C-CORP	1,462,806	236,155	100 000 %	Yes	
BEIJING DE GA INTRNTL CONSULTING CTR LTD TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH	EDUCATION	CH	COLUMBIA	FOREIGN CORP	0	319,848	100 000 %	Yes	
ASM HUDSON RIVER FUND WALKER HSE87 MARY ST GEORGE TOWN,GRD CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	REG MUTUAL FUND	10,489,216	76,491,035	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND LP 1ST FL DOREY CRT ADMIRAL PK ST PETER PORT GY1 6HJ GK 98-0684876	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	-844,087	16,208,246	75 000 %	Yes	
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN RD FESTIVAL SQ EDINBURGH,SCOTLAND EH3 9BY UK	INVESTMENTS	UK	COLUMBIA	FOREIGN CORP	6,533	3,234,404	100 000 %	Yes	
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HSE87 MARY ST GEORGE TOWN,GRD CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	28,909,716	403,612,364	100 000 %	Yes	
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	1,140,186	5,290,275	100 000 %	Yes	
NCH INVESTORS FUND (CU) CORP UGLANDS CHURCHPO309 GEORGE TOWN,GD CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	1,124,489	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND 2 LP PO656 TRAFALGAR CT LES BANQUES, ST PETER PT GY13PP GK 98-1162651	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	-4,247	4,741,691	58 300 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?
Yes HARBOUR OFFSHORE FUND II LP UGLAND HOUSE PO BOX 309 GRAND CAYMAN KY1-1104 CJ 98-1049614	No INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	25,882	14,052,568	50.400 %	Yes
CHARITABLE REMAINDER TRUSTS (57)	N/A	NY	COLUMBIA	TRUST				
POOLED INCOME FUNDS (3)	N/A	NY	COLUMBIA	TRUST				
CENTERS FOR INTERNATIONAL PROGRAMS 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	RESEARCH	DE	COLUMBIA	C-CORP	0	652,873	100.000 %	Yes
GLOBAL HEALTH RESEARCH CENTER 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	RESEARCH	DE	COLUMBIA	C-CORP	0	1,466	100.000 %	Yes
ASSOCIACAO COLUMBIA GLOBAL CENTER BRASIL RUA DA CANDELARIA 9 30 ANDAR CNT RIO DE JANEIRO BR	RESEARCH	BR	CGC LLC	N/A	0	103,732	100.000 %	Yes
UNIVERSITY WOMEN'S REALTY CORPORATION 408 LOW MEMORIAL LIBRARY MC 4336 NEW YORK, NY 10025 13-2524803	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100.000 %	Yes
COLUMBIA DOCTORS OF BERGEN COUNTY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 47-3568473	HEALTHCARE	NJ	COLUMBIA	C-CORP	0	0	100.000 %	Yes

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
AP MC FUND I LP	S	257,342	FMV
AP RX FUND I LP	S	9,239,909	FMV
ASM HUDSON RIVER FUND	S	19,999,927	FMV
CALUNIUS LITIGATION RISK FUND 2 LP	B	1,835,387	FMV
CALUNIUS LITIGATION RISK FUND LP	S	10,228,260	FMV
CANAAN RESOURCE PARTNERS DRILLING FUND LP	B	22,500,000	FMV
GCM GROSVENOR BLUE LP	B	17,577,391	FMV
GCM GROSVENOR BLUE LP	S	3,350,789	FMV
FPCM INFLATION-LINKED OPPORTUNITIES LTD	S	60,000,000	FMV
HARBOUR LITIGATION INVSTMNT OFFSHORE FUND LP	B	2,074,263	FMV
HARBOUR LITIGATION INVSTMNT OFFSHORE FUND LP	S	10,296,213	FMV
HARBOUR OFFSHORE FUND II LP	B	4,682,746	FMV
MERIT ENERGY PARTNERS V LP	S	17,028,000	FMV
NCH INVESTORS FUND (CU) CORP	S	156,250	FMV
COLUMBIAPATRON SCOTLAND LP	B	299,327	FMV
COLUMBIAPATRON SCOTLAND LP	S	650,702	FMV
TAILWATER E AND P OPPORTUNITY FUND II LP	B	8,000,000	FMV
VECTRA ENERGY DRILLING FUND I	B	295,204	FMV
VECTRA ENERGY DRILLING FUND I-A	B	3,226,365	FMV
VECTRA ENERGY DRILLING FUND I-A	S	593,291	FMV
WAYPOINT FUND I LP	S	36,611,787	FMV
REID HALL INC	B	561,964	FMV
COLUMBIA UNIVERSITY HEALTH CARE INC	B	4,399,245	FMV
COLUMBIA UNIVERSITY PRESS	B	1,243,000	FMV
COLUMBIA DOCTORS OF NEW JERSEY	B	304,163	FMV