



NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

**Grant Number:** 1R01MD015024-01  
**FAIN:** R01MD015024

**Principal Investigator(s):**  
Marc A Zimmerman, PHD

**Project Title:** Youth Empowerment Solutions: Engaging Youth for Anti-Racism And Cultural Equity (YES-ERACE)

Ms. Maxwell, Therese G  
Project Representative  
Research & Sponsored Projects, Wolverine Tower  
3003 S. State St  
Ann Arbor, MI 481091274

**Award e-mailed to:** creynolds-gov@umich.edu

**Period Of Performance:**  
**Budget Period:** 09/24/2020 – 03/31/2021  
**Project Period:** 09/24/2020 – 03/31/2025

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$662,998 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the University of Michigan in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute On Minority Health And Health Disparities of the National Institutes of Health under Award Number R01MD015024. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Priscilla Grant  
Grants Management Officer  
NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

Additional information follows

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**SECTION I – AWARD DATA – 1R01MD015024-01****Award Calculation (U.S. Dollars)**

|                                                         |                  |
|---------------------------------------------------------|------------------|
| Federal Direct Costs                                    | \$424,999        |
| Federal F&A Costs                                       | \$237,999        |
| Approved Budget                                         | \$662,998        |
| Total Amount of Federal Funds Obligated (Federal Share) | \$662,998        |
| <b>TOTAL FEDERAL AWARD AMOUNT</b>                       | <b>\$662,998</b> |

|                                              |                  |
|----------------------------------------------|------------------|
| <b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b> | <b>\$662,998</b> |
|----------------------------------------------|------------------|

| SUMMARY TOTALS FOR ALL YEARS |            |                   |
|------------------------------|------------|-------------------|
| YR                           | THIS AWARD | CUMULATIVE TOTALS |
| 1                            | \$662,998  | \$662,998         |
| 2                            | \$647,851  | \$647,851         |
| 3                            | \$648,249  | \$648,249         |
| 4                            | \$648,249  | \$648,249         |
| 5                            | \$662,830  | \$662,830         |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**Fiscal Information:**

|                          |                                                 |
|--------------------------|-------------------------------------------------|
| <b>CFDA Name:</b>        | Minority Health and Health Disparities Research |
| <b>CFDA Number:</b>      | 93.307                                          |
| <b>EIN:</b>              | 1386006309A1                                    |
| <b>Document Number:</b>  | RMD015024A                                      |
| <b>PMS Account Type:</b> | P (Subaccount)                                  |
| <b>Fiscal Year:</b>      | 2020                                            |

|    |         |           |           |           |           |           |
|----|---------|-----------|-----------|-----------|-----------|-----------|
| IC | CAN     | 2020      | 2021      | 2022      | 2023      | 2024      |
| MD | 8472687 | \$662,998 | \$647,851 | \$648,249 | \$648,249 | \$662,830 |

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**NIH Administrative Data:**

**PCC:**  **OC:** 41021 / **Released:** GRANTP 09/24/2020  
**Award Processed:** 09/25/2020 12:08:01 AM

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**SECTION II – PAYMENT/HOTLINE INFORMATION – 1R01MD015024-01**

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

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**SECTION III – TERMS AND CONDITIONS – 1R01MD015024-01**

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- 45 CFR Part 75.
- National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

**Research and Development (R&D):** All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VI Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R01MD015024. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award provides support for one or more clinical trials. By law (Title VIII, Section 801 of Public Law 110-85), the "responsible party" must register "applicable clinical trials" on the ClinicalTrials.gov Protocol Registration System Information Website. NIH encourages registration of all trials whether required under the law or not. For more information, see [http://grants.nih.gov/ClinicalTrials\\_fdaaa/](http://grants.nih.gov/ClinicalTrials_fdaaa/)

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

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**SECTION IV – MD Special Terms and Conditions – 1R01MD015024-01**

Clinical Trial Indicator: Yes

This award supports one or more NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

**THIS AWARD CONTAINS GRANT SPECIFIC RESTRICTIONS. THESE RESTRICTIONS MAY ONLY BE LIFTED BY A REVISED NOTICE OF AWARD.**

**RESTRICTION:** In absence of a revised plan for the dissemination of NIH-funded clinical trials information indicating reporting of adverse events to NIH, all funds for this award are restricted. Funds remain restricted until the NIMHD has received and approved a dissemination plan.

No funds may be drawn down from the payment system and no obligations may be made against Federal funds, prior to the NIMHD's notification to the recipient via a revised Notice of Award that the identified issues have been resolved and this restriction removed.

**RESTRICTION** This provisional award is issued subject to the following special condition:

The recipient must submit its data and safety monitoring plan, that includes reporting adverse events to NIH, to the NIMHD for review and approval. This plan, signed by the principal investigator and authorized institutional official, must be submitted to [pg38h@nih.gov](mailto:pg38h@nih.gov) within 60 days of the budget period start date. If the plan is not submitted within the required timeframe, this award may be suspended and/or terminated.

**RESTRICTION:** This award is issued without a currently valid certification of IRB approval for the RCT implementation phase of this project with the following special condition: Only activities that are clearly severable and independent from activities that involve human subjects may be conducted under this award until the project has received IRB approval consistent with 45 CFR Part 46 and certification of IRB approval has been submitted to and accepted by the NIMHD.

No funds may be drawn down from the payment system and no obligations may be made against Federal funds for research involving human subjects at any site engaged in such research for any period not covered by both (1) the recipient's OHRP-approved Assurance and if performance sites are involved, each performance site's OHRP-approved Assurance(s) and (2) appropriate IRB approvals consistent with all OHRP-approved Assurances.

Failure to comply with this special condition can result in the suspension and/or termination of this award, withholding of support, audit disallowances, and/or other appropriate action.

**RESTRICTION** This award is issued subject to the following special condition:

The following institution(s)/site(s) included in the application on which this award is based does/do not have an Office for Human Research Protections (OHRP) approved Assurance to protect human subjects: Genesee County Intermediate School District. This institution(s)/site(s) must submit a Federalwide Assurance of Protection for Human Subjects (FWA) to OHRP no later than November 1, 2020. Information on and instructions for submitting and negotiating an FWA are available at the OHRP website at <http://ohrp.cit.nih.gov/efile/>. Also by November 1, 2020, the recipient must provide Ms. Grant at [pg38h@nih.gov](mailto:pg38h@nih.gov) with the date the Assurance documents were submitted to OHRP. The recipient is then responsible for notifying Ms. Grant when OHRP has approved Assurance(s).

No research involving human subjects may be conducted at this institution(s)/site(s) until OHRP has approved an Assurance(s) and the recipient has ensured that the research has received appropriate IRB approval.

Only activities that are clearly severable and independent from activities that involve human subjects may be conducted at any site that does not have an OHRP-approved Assurance and IRB approval consistent with 45 CFR Part 46.

No funds may be drawn down from the payment system and no obligations may be made against Federal funds for research involving human subjects at any site engaged in such research for any period not covered by both (1) the recipient's OHRP-approved Assurance and if performance sites are involved, each performance site's OHRP-approved Assurance(s) and (2) appropriate IRB approvals consistent with all OHRP-approved Assurances.

Failure to comply with this special condition can result in the suspension and/or termination of this award, withholding of support, audit disallowances, and/or other appropriate action.

**RESTRICTION** Funds awarded are contingent upon the receipt and approval by the NIMHD of current Other Support with percent effort for key personnel. If this item is not received by 11/1/2020 and depending on what it shows, this award may be subject to reevaluation and adjustment of the period of support and the funds awarded.

**INFORMATION** This award's funding level is contingent upon the adjustment in Dr. Hsing-Fang Hsieh's effort as described in the Other Support dated 11/25/2019.

**RESTRICTION** This award is issued subject to the following special condition:

In accordance with NIH policy, no research involving human subjects may be conducted on this project until the NIMHD receives certification that all key personnel as defined in the June 5, 2000 NIH Guide announcement, (revised August 25, 2000), "Required Education in the Protection of Human Subjects" (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-00-039.html>), have completed education on the protection of human subjects; and the NIMHD removes this restriction. The certification(s) for key personnel involved in this project, clearly identifying the grant number, must be sent no later than November 1, 2020 to [pg38h@nih.gov](mailto:pg38h@nih.gov).

If the certification(s) is not received by the date listed above, this award may be suspended and may be terminated. The award also may be subject to audit disallowances and/or other appropriate action.

**INFORMATION** In accordance with the National Institute on Minority Health and Health Disparities' (NIMHD's) Fiscal Year (FY) 2020 funding policies, this award has been issued at **85%** of the corrected recommended level. Future year committed levels\* have been adjusted accordingly.

**\*\* committed level.** The level of support calculated by applying the NIMHD funding plan to the corrected recommended level for each budget category for all years of the project period.

**REQUIREMENT** This award is subject to the conditions set forth in PA-19-055, Research Project Grant (Parent R01), NIH Guide to Grants and Contracts, 11/05/18, which is hereby incorporated by reference as special terms and conditions of this award.

Copies of this RFA may be accessed at the following internet address: <http://www.nih.gov/grants/guide/index.html>

Copies may also be obtained from the Grants Management Contact indicated in the terms of award.

**INFORMATION** In order to redistribute awards more evenly throughout the year, budget periods are being adjusted. This award is issued with a 6.3 month budget period and with 12 months of support. Continuation awards will cycle each year on April 1.

**INFORMATION** Although the budget period start date for this award is 9/24, this award includes funds for 12 months of support. Future year budget periods will cycle on April 1. Allowable preaward costs may be charged to this award, in accordance with the conditions outlined in the NIH Grants Policy Statement, and with institutional requirements for prior approval. The NIH GPS can be found on the internet at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.

**REQUIREMENT** Use of humans and animals in any new activities must be requested prior to the start of the activity and must be approved in writing in advance by the NIMHD. See NOT-MD-08-002, "Guidance and Clarification on NCMHD Policy on Prior Approval for Subprojects and Pilot Projects Involving Human Subjects or Vertebrate Animals," NIH Guide to Grants and Contracts, April 29, 2008, which is hereby incorporated by reference as special terms and conditions of this award. See also NOT-OD-15-129, "Prior NIH Approval of Human Subjects Research in Active Awards Initially Submitted without Definitive Plans for Human Subjects Involvement (Delayed Onset Awards): Updated Notice," and NIH-OD-15-128, "Guidance on Changes That Involve Human Subjects in Active Awards and That Will Require Prior NIH Approval: Updated Notice."

Copies of these Notices may be accessed at the following internet address: <http://www.nih.gov/grants/guide/index.html>

Copies may also be obtained from the Grants Management Contact indicated in the terms of award.

**INFORMATION** None of the funds in this award shall be used to pay the salary of an individual at a rate in excess of the current salary cap. See the new Salary Limitations on Grants: [https://grants.nih.gov/grants/policy/salcap\\_summary.htm](https://grants.nih.gov/grants/policy/salcap_summary.htm)

**INFORMATION** Unobligated balances may be used by the NIMHD to reduce or offset funding for a subsequent budget period.

**INFORMATION** See "Federalwide Assurance Requirements" and "Certification of IRB Approval" under the Human Subjects Protections section in the NIH Grants Policy Statement (NIHGPs), for specific requirements and recipient responsibilities related to the protection of human subjects, which are applicable to and are a term and condition of this award. The NIHGPS can be found on the internet at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.

**INFORMATION** Funds awarded for direct cost compensation for Graduate Research Assistants are limited in accordance with the NIH policy.

**INFORMATION** Regarding changes in scope, attention is called to the NIH Grants Policy Statement. The Change in Scope section is found in Section 8.1.2 at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>. The recipient must obtain prior approval from the NIMHD for a change in the direction, aims, objectives, purposes, or type of research or training, or other areas that constitute a significant change in the approved project. Specific examples are provided.

**INFORMATION** Regarding allowability of selected items of cost, attention is called to the NIH Grants Policy Statement. The Selected Items of Cost section is found in Section 7.9.1 at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.

**INFORMATION** Honoraria are unallowable when the primary intent is to confer distinction on, or to symbolize respect, esteem, or admiration for, the recipient of the honorarium. A payment for services rendered, such as a speaker's fee under a conference grant, is allowable. See page Section 7.9.1 of the NIH Grants Policy Statement at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.

**RESTRICTION** Stipends and payments made for educational assistance (e.g., scholarships, fellowships, and student aid costs) may not be paid from NIH research grant funds even when they would appear to benefit the research project (NIH GPS Section 7.9.1). Compensation must be in accordance with organizational policies consistently applied to both federally and non-federally supported activities and must be supported by acceptable accounting records that reflect the employer-employee relationship. Under these conditions, the funds provided as compensation for services rendered are not considered stipend supplementation; they are allowable charges to Federal grants, including PHS research grants. (A stipend is a payment made to an individual under a fellowship or training grant in accordance with pre-established levels to provide for the individual's living expenses during the period of training. A stipend is not considered compensation for the services expected of an employee.) See the NIH Grants Policy Statement for allowable forms of student compensation, available at: <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>.

## STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

**Grants Management Specialist:** Janet Mfon  
**Email:** mfonj@mail.nih.gov **Phone:** 301-402-1366 **Fax:** 301-480-4049

**Program Official:** Jennifer L Alvidrez  
**Email:** alvidrezjl@mail.nih.gov **Phone:** 301-594-9567 **Fax:** 301-480-4049

## SPREADSHEET SUMMARY

**GRANT NUMBER:** 1R01MD015024-01

**INSTITUTION:** The Regents of the University of Michigan

| Facilities and Administrative Costs | Year 1    | Year 2    | Year 3    | Year 4    | Year 5    |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|
| F&A Cost Rate 1                     | 56%       | 56%       | 56%       | 56%       | 56%       |
| F&A Cost Base 1                     | \$424,999 | \$415,289 | \$415,544 | \$415,544 | \$424,891 |
| F&A Costs 1                         | \$237,999 | \$232,562 | \$232,705 | \$232,705 | \$237,939 |